



External Contracts & Grants Budget Expenditure Reports

Indirect Cost Training
Contracts & Grants Section
February 5, 2014

Overview

- ▶ Budget Expenditure Report (BER)
Information
 - ▶ BER Format
 - ▶ BER Legend
 - ▶ Report Summaries
 - Branch / Divisions
 - Funding Agencies
- 

BER Information

- Data downloaded from Financial Management Information System (FMIS);
 - on January 28, 2014
- Monthly Closing Entries
 - Prior month – December 2013 month end entries not posted
- Office of the Controller's Contract Accounting (OOC-CA) month end closing entries, e.g. recovery of Indirect cost, matching, etc.;
 - OOC-CA has two/three weeks in following month to post Adjusting Journal Entries (AJEs) prior to closing period;

BER Period and Format

- Report Period
 - Inception of grant through January 28, 2014.
- Report Format
 - **Active BER**- comprised of C/Gs currently open (end dates have not expired). Post Edit Code = (Blank) allows posting; implementation is effective.
 - **Inactive BER** – comprised of C/Gs that are closed- Post Edit Code=“N” posting of transactions not allowed, e.g. end date expired, final financial report & program reports submitted for close out, other issues, etc.
 - **Summary Totals** – comprised of both active and inactive financial information.

BER Legend

- ▶ **# BU** – Number of Business Units created based on particular project(s) and/or SOW;
 - ▶ **# Co** – Number of Companies created based on contracts/grants issued & assigned by funding agencies;
 - ▶ **Description** - Branch/Division and/or Funding Agency as identified in FMIS;
 - ▶ **Original Budget** – initial grant/contract award amount;
 - ▶ **Revised Budget** - includes all modifications (increases and/or decreases) to contract award.
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BER Legend Continued

- ▶ **Expenditures** – all posted expenses as of report date, e.g. payments and AJEs;
- ▶ **Open Commitments** – encumbrances processed as of report date, amounts obligated/pending receipt of goods;
- ▶ **Available Balance** – remaining amount available (Revised Budget minus Expenditures minus Open Commitments);
- ▶ **% Spt** - Percent of expenditures incurred to revised budget as of report date;
- ▶ **% Bal** - Percent of budget available for duration of award period.

BER Legend Continued

- **% Spt** - Percent Spent is total “Expenditures” plus “Open Commitments” divided by “Revised Budget” Amount;
- **% Bal** - Percent Balance is based on “Available Budget Balance” divided by “Revised Budget Amount”; remaining percent available to spend during remaining contract period.

Report Summaries as of Jan 28, 2014

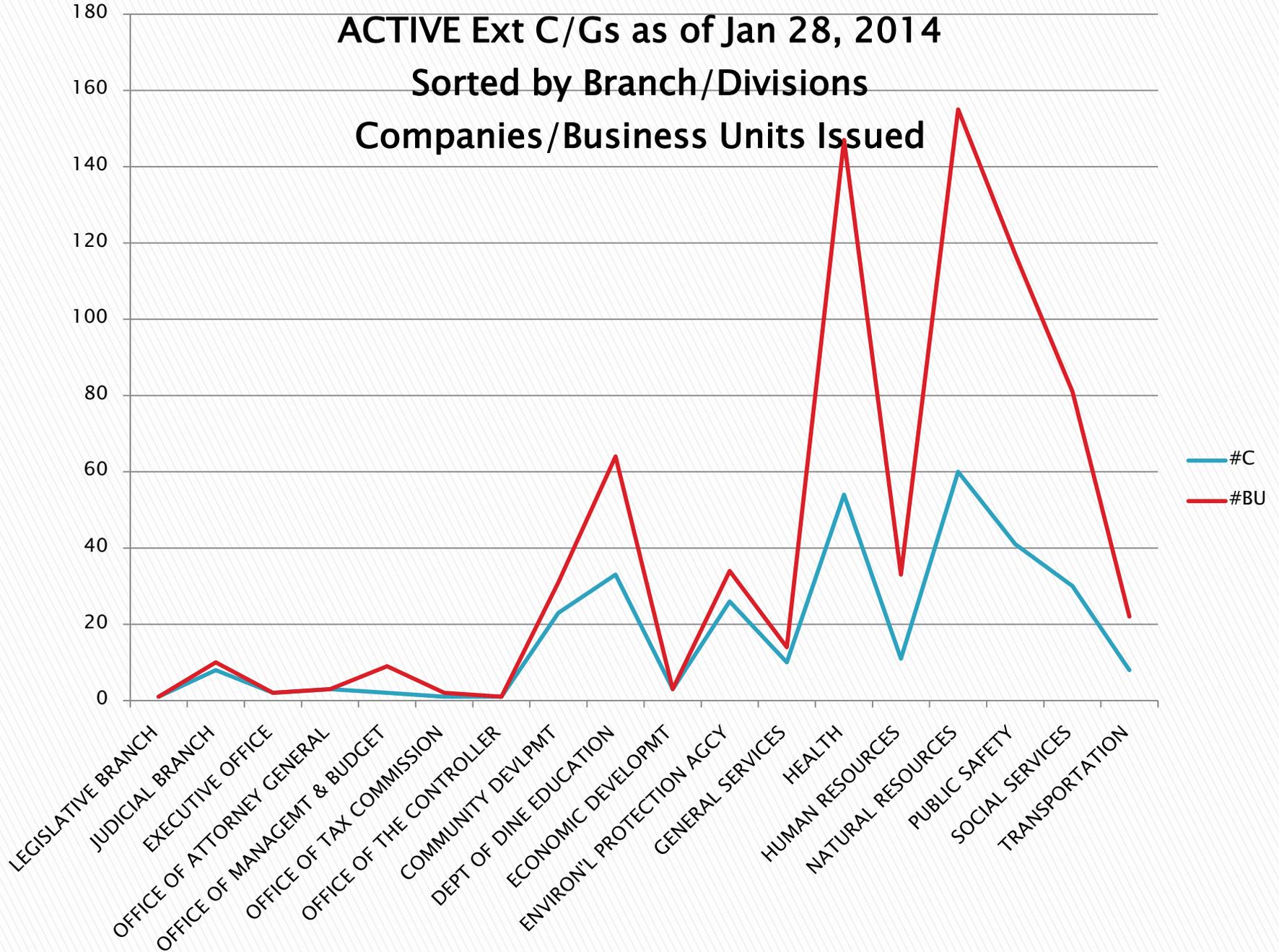
- **By Branch / Divisions**
 - **Active BER**
 - Contracts total 317
 - Business Units total 729
 - **Inactive BER**
 - Contracts total 1,620
 - Business Units total 3,494
 - **Combined (Active/Inactive) BER**
 - Contracts total 1,937
 - Business Units total 4,223

A	B	C	D	E	F	G	H	I	J	K
1	<u>ACTIVE EXTERNAL C/Gs</u>									
2	#C	#BU	BRANCH / DIVISIONS	OriginalBudget	Revised Budget	Expenditures	Open Commitmts	Available Balance	% Spt	% Bal
3	1	1	LEGISLATIVE BRANCH	269,500.00	269,500.00	4,613.12	0.00	264,886.88	2%	98%
4	8	10	JUDICIAL BRANCH	6,298,943.85	9,223,639.85	6,121,602.98	111,410.20	2,990,626.67	68%	32%
5	2	2	EXECUTIVE OFFICE	438,614.00	438,614.00	49,440.51	83,948.10	305,225.39	30%	70%
6	3	3	OFFICE OF ATTORNEY GENERAL	338,898.33	474,650.00	353,424.23	343.19	120,882.58	75%	25%
7	2	9	OFFICE OF MANAGEMT & BUDGET	54,040,633.00	79,913,140.00	88,682,346.48	0.00	(8,769,206.48)	111%	-11%
8	1	2	OFFICE OF TAX COMMISSION	38,100.00	38,100.00	0.00	0.00	38,100.00	0%	100%
9	1	1	OFFICE OF THE CONTROLLER	5,255,114.00	9,326,322.00	11,304,227.06	0.00	(1,977,905.06)	121%	-21%
10	23	31	DIV OF COMMUNITY DEVLPMT	52,038,610.00	56,758,863.43	40,320,977.97	5,650,987.50	10,786,897.96	81%	19%
11	33	64	DEPT OF DINE EDUCATION	116,470,533.28	143,168,649.15	88,278,783.80	6,509,687.81	48,380,177.54	66%	34%
12	3	3	DIV OF ECONOMIC DEVELOPMT	3,897,901.00	3,925,000.00	3,435,102.82	369,541.30	120,355.88	97%	3%
13	26	34	ENVIRONMENTAL PROTECTION AGCY	17,048,074.00	28,298,351.00	19,924,643.94	637,301.86	7,736,405.20	73%	27%
14	10	14	DIV OF GENERAL SERVICES	6,540,281.00	6,540,281.00	3,622,811.02	39,800.20	2,877,669.78	56%	44%
15	54	147	DIV OF HEALTH	121,304,094.43	142,732,138.23	72,869,661.13	2,230,363.45	67,632,113.65	53%	47%
16	11	33	DIV OF HUMAN RESOURCES	36,979,824.00	47,461,397.00	39,216,745.03	1,012,792.13	7,231,859.84	85%	15%
17	60	155	DIV OF NATURAL RESOURCES	83,835,757.27	140,090,770.94	86,690,581.20	8,930,815.16	44,469,374.58	68%	32%
18	41	117	DIV OF PUBLIC SAFETY	177,479,472.38	360,224,791.43	319,473,146.04	4,041,618.25	36,710,027.14	90%	10%
19	30	81	DIV OF SOCIAL SERVICES	135,792,624.72	187,810,842.76	113,159,011.98	7,042,834.11	67,608,996.67	64%	36%
20	8	22	DIV OF TRANSPORTATION	34,982,973.89	43,005,523.19	31,943,338.08	572,745.98	10,489,439.13	76%	24%
21	317	729	TOTAL ACTIVE C/Gs	853,049,949.15	1,259,700,573.98	925,450,457.39	37,234,189.24	297,015,927.35	76%	24%

ACTIVE Ext C/Gs as of Jan 28, 2014

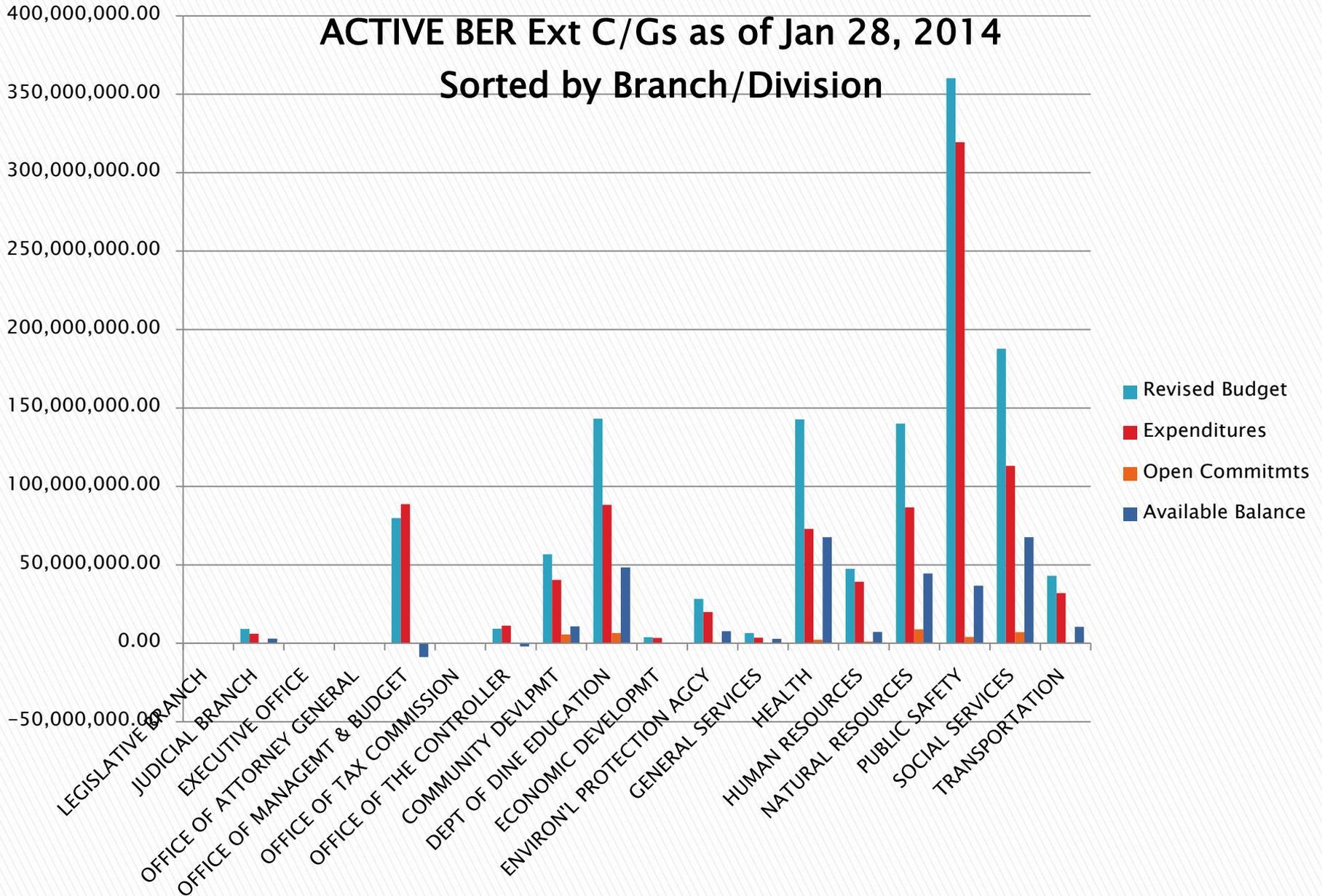
Sorted by Branch/Divisions

Companies/Business Units Issued



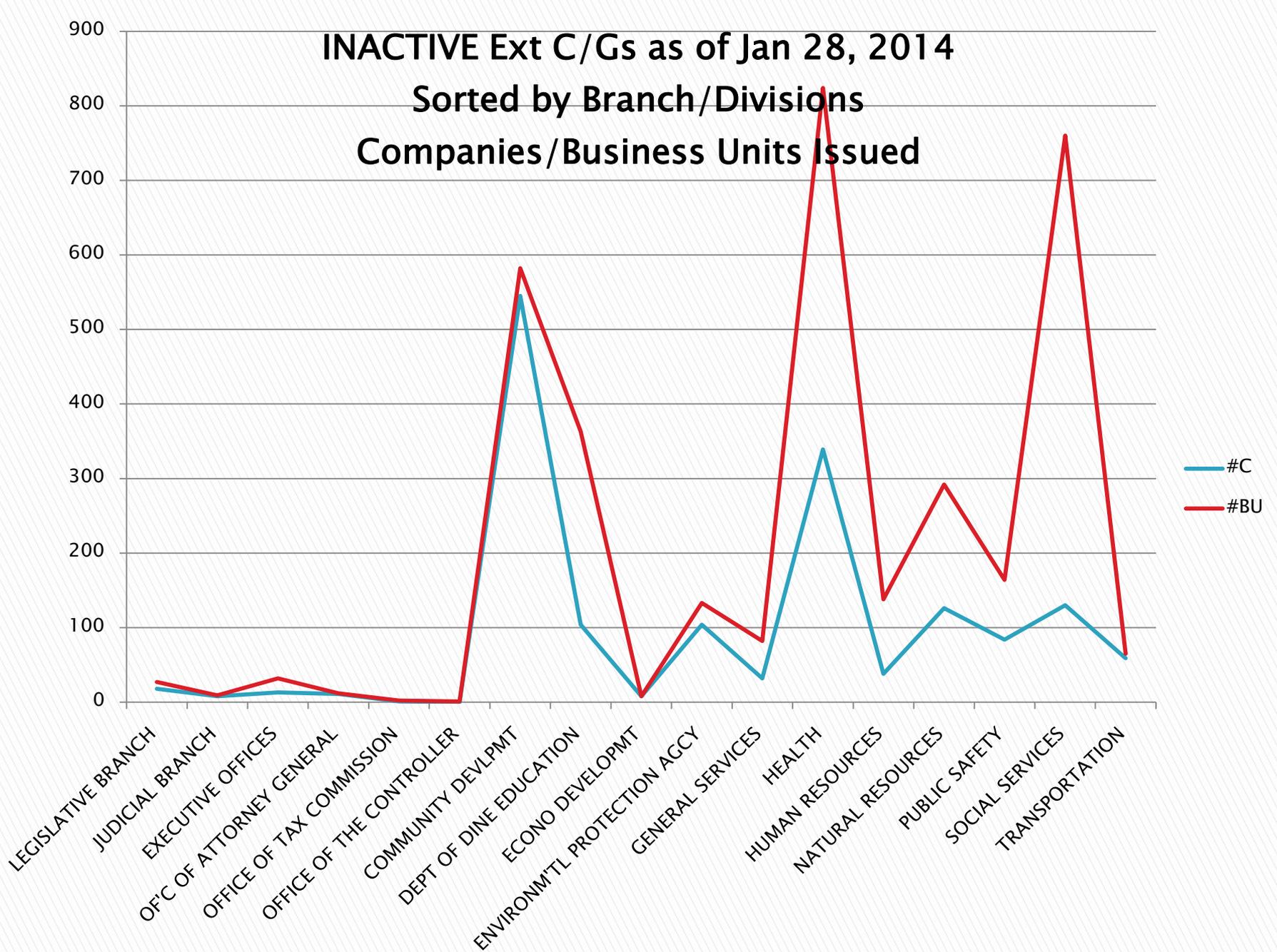
ACTIVE BER Ext C/Gs as of Jan 28, 2014

Sorted by Branch/Division



A	B	C	D	E	F	G	H	I	J	K
1	<u>INACTIVE EXTERNAL C/Gs</u>									
2	<u>#C</u>	<u>#BU</u>	<u>BRANCH / DIVISIONS</u>	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Expenditures</u>	<u>Open Commitmts</u>	<u>Available Balance</u>	<u>% Spt</u>	<u>% Bal</u>
3	18	27	LEGISLATIVE BRANCH	2,147,049.40	2,153,927.48	2,085,817.63	0.00	68,109.85	97%	3%
4	8	9	JUDICIAL BRANCH	4,039,155.00	11,121,528.61	11,062,040.99	0.00	59,487.62	99%	1%
5	13	32	EXECUTIVE OFFICES	11,245,892.50	12,287,613.50	11,040,497.32	0.00	1,247,116.18	90%	10%
6	11	12	OF'C OF ATTORNEY GENERAL	2,842,355.45	3,086,062.45	2,483,654.69	0.00	602,407.76	80%	20%
7	1	2	OFFICE OF TAX COMMISSION	136,135.00	95,670.75	95,670.75	0.00	0.00	100%	0%
8	0	1	OFFICE OF THE CONTROLLER	4,911,284.00	7,074,239.00	11,696,080.45	0.00	(4,621,841.45)	165%	-65%
9	545	582	DIV OF COMMUNITY DEVLPMT	231,573,642.67	217,537,785.38	184,503,350.78	1,949.66	33,032,484.94	85%	15%
10	104	363	DEPT OF DINE EDUCATION	336,037,246.03	550,003,403.31	492,335,195.27	0.00	57,668,208.04	90%	10%
11	8	8	DIV OF ECONO DEVELOPMT	792,871.00	802,871.00	781,716.95	0.00	21,154.05	97%	3%
12	104	133	ENVIRONM'TL PROTECTION AGCY	30,806,735.44	34,917,062.52	32,455,246.78	0.00	2,461,815.74	93%	7%
13	32	82	DIV OF GENERAL SERVICES	57,105,452.92	57,195,332.92	22,560,252.84	451.64	34,634,628.44	39%	61%
14	339	824	DIV OF HEALTH	484,065,909.07	647,233,530.81	645,460,166.35	71,090.14	1,702,274.32	100%	0%
15	38	138	DIV OF HUMAN RESOURCES	160,459,620.00	196,531,188.87	183,244,431.05	0.00	13,286,757.82	93%	7%
16	126	292	DIV OF NATURAL RESOURCES	115,012,406.37	143,151,684.84	124,816,124.70	1,342.84	18,334,217.30	87%	13%
17	84	164	DIV OF PUBLIC SAFETY	106,751,270.58	172,241,900.57	174,394,150.69	-0.08	(2,152,250.04)	101%	-1%
18	130	760	DIV OF SOCIAL SERVICES	722,259,210.30	900,277,604.13	851,590,281.93	3,968.91	48,683,353.29	95%	5%
19	59	65	DIV OF TRANSPORTATION	12,231,239.50	23,537,908.07	19,467,643.09	0.00	4,070,264.98	83%	17%
20	1620	3494	TOTAL INACTIVE C/Gs	2,282,417,475.23	2,979,249,314.21	2,770,072,322.26	78,803.11	209,098,188.84	93%	7%

INACTIVE Ext C/Gs as of Jan 28, 2014
Sorted by Branch/Divisions
Companies/Business Units Issued



ACTIVE/INACTIVE EXTERNAL C/Gs as of January 28, 2014

44										
45	#C	#BU	BRANCH / DIVISIONS	Original Budget	Revised Budget	Expenditures	Open Commitmts	Available Balance	% Spt	% Bal
46	19	28	LEGISLATIVE BRANCH	2,416,549.40	2,423,427.48	2,090,430.75	0.00	332,996.73	86%	14%
47	16	19	JUDICIAL BRANCH	10,338,098.85	20,345,168.46	17,183,643.97	111,410.20	3,050,114.29	85%	15%
48	15	34	EXECUTIVE OFFICES	11,684,506.50	12,726,227.50	11,089,937.83	83,948.10	1,552,341.57	88%	12%
49	14	15	TOTAL OAG	3,181,253.78	3,560,712.45	2,837,078.92	343.19	723,290.34	80%	20%
50	2	9	TOTAL OMB	54,040,633.00	79,913,140.00	88,682,346.48	0.00	(8,769,206.48)	111%	-11%
51	2	4	TOTAL OTC	174,235.00	133,770.75	95,670.75	0.00	38,100.00	72%	28%
52	1	2	TOTAL OOC	10,166,398.00	16,400,561.00	23,000,307.51	0.00	(6,599,746.51)	140%	-40%
53	568	613	TOTAL DCD	283,612,252.67	274,296,648.81	224,824,328.75	5,652,937.16	43,819,382.90	84%	16%
54	137	427	TOTAL DODE	452,507,779.31	693,172,052.46	580,613,979.07	6,509,687.81	106,048,385.58	85%	15%
55	11	11	TOTAL DED	4,690,772.00	4,727,871.00	4,216,819.77	369,541.30	141,509.93	97%	3%
56	130	167	TOTAL EPA	47,854,809.44	63,215,413.52	52,379,890.72	637,301.86	10,198,220.94	84%	16%
57	42	96	TOTAL DGS	63,645,733.92	63,735,613.92	26,183,063.86	40,251.84	37,512,298.22	41%	59%
58	393	971	TOTAL DOH	605,370,003.50	789,965,669.04	718,329,827.48	2,301,453.59	69,334,387.97	91%	9%
59	49	171	TOTAL DHR	197,439,444.00	243,992,585.87	222,461,176.08	1,012,792.13	20,518,617.66	92%	8%
60	186	447	TOTAL DNR	198,848,163.64	283,242,455.78	211,506,705.90	8,932,158.00	62,803,591.88	78%	22%
61	125	281	TOTAL DPS	284,230,742.96	532,466,692.00	493,867,296.73	4,041,618.17	34,557,777.10	94%	6%
62	160	841	TOTAL DSS	858,051,835.02	1,088,088,446.89	964,749,293.91	7,046,803.02	116,292,349.96	89%	11%
63	67	87	TOTAL DOT	47,214,213.39	66,543,431.26	51,410,981.17	572,745.98	14,559,704.11	78%	22%
64	1937	4223	TOTAL ALL C/Gs	3,135,467,424.38	4,238,949,888.19	3,695,522,779.65	37,312,992.35	506,114,116.19	88%	12%

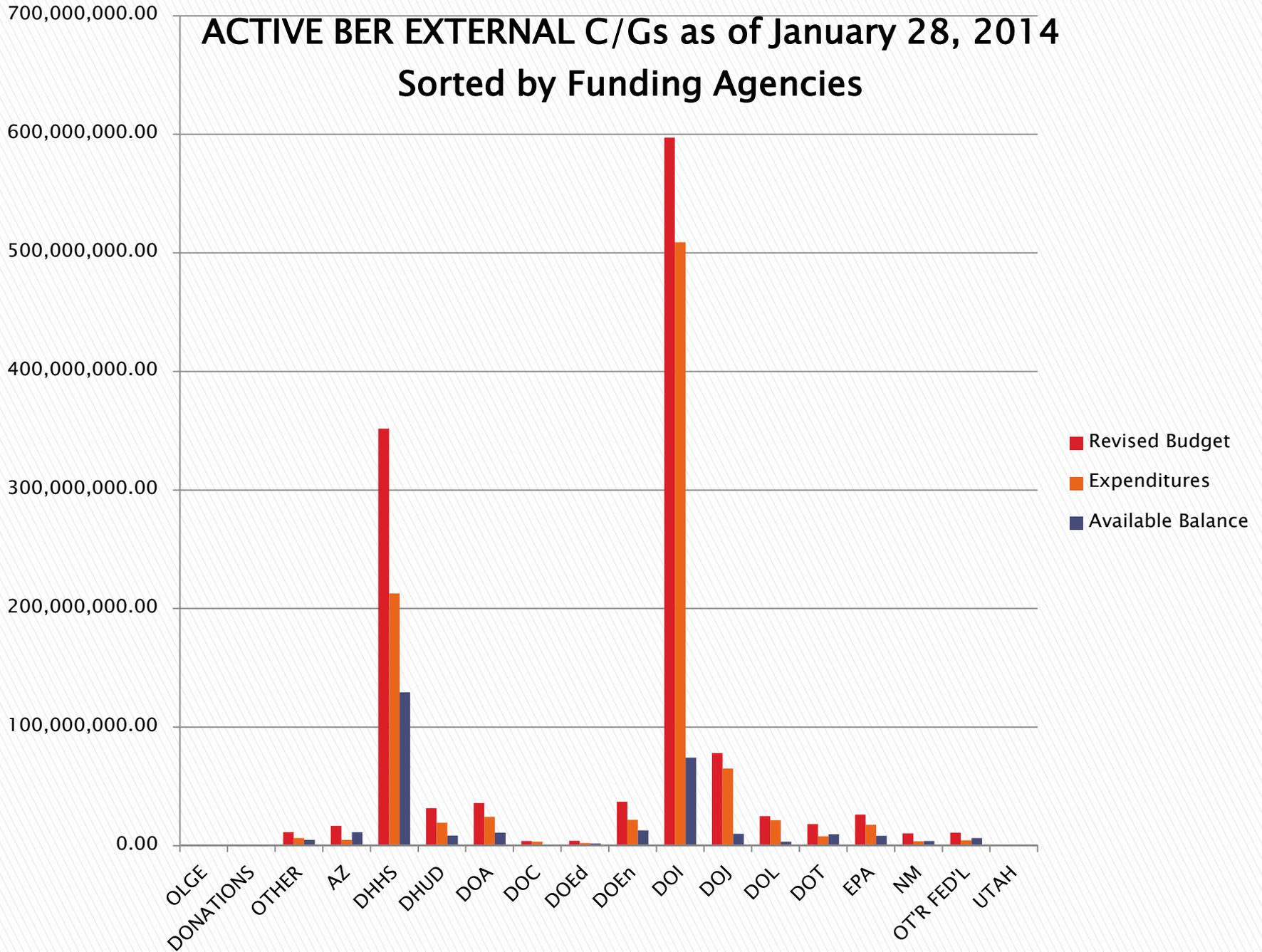
Report Summaries

- By Funding Agencies
 - Active BER
 - Contracts total = 317
 - Business Units total = 1,620
 - Inactive BER
 - Contracts total = 729
 - Business Units total = 3,494
 - Combined (Active and Inactive) BER
 - Contracts total = 1,937
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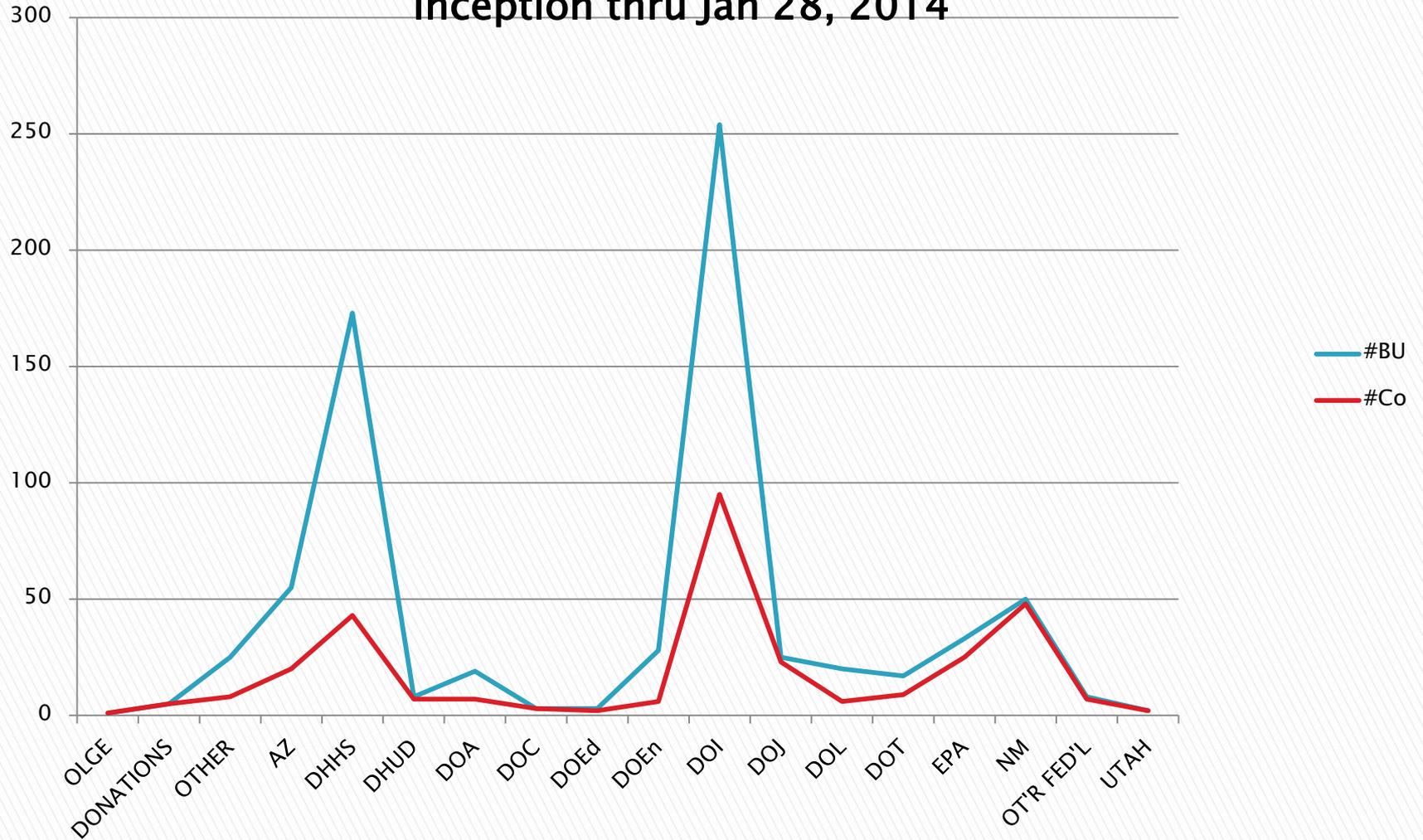
A	B	C	D	E	F	G	H	I	J	K	
1			<u>ACTIVE EXTERNAL C/GS FUNDING AGENCY as of JAN 28, 2014</u>								
2	#BU	#Co	<u>Descriptions</u>	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Expenditures</u>	<u>Open Commitmts</u>	<u>Available Balance</u>	<u>% Spt</u>	<u>% Bal</u>	
3	1	1	ACTIVE OLGE (Other Local Govtl Entity)	123,320.00	123,320.00	0.00	0.00	123,320.00	0%	100%	
4	5	5	ACTIVE DONATIONS	31,918.28	31,918.28	20,663.70	4,639.40	6,615.18	79%	21%	
5	25	8	ACTIVE OTHER	1,192,865.83	11,413,729.56	6,435,715.67	55,051.28	4,922,962.61	57%	43%	
6	55	20	ACTIVE AZ	16,129,489.00	16,677,128.84	4,862,135.68	357,189.98	11,457,803.18	31%	69%	
7	173	43	ACTIVE DHHS	285,228,454.42	351,751,594.94	212,910,143.51	9,442,426.47	129,399,024.96	63%	37%	
8	8	7	ACTIVE DHUD	31,558,280.00	31,688,316.00	19,422,227.95	3,731,833.15	8,534,254.90	73%	27%	
9	19	7	ACTIVE DOA	34,158,820.00	36,094,014.02	24,442,999.47	634,587.51	11,016,427.04	69%	31%	
10	3	3	ACTIVE DOC	3,897,901.00	3,925,000.00	3,435,102.82	369,541.30	120,355.88	97%	3%	
11	3	2	ACTIVE DOEd	3,757,347.00	4,112,347.00	2,232,526.60	44,755.92	1,835,064.48	55%	45%	
12	28	6	ACTIVE DOEn	37,105,634.52	37,105,634.52	21,925,122.86	2,250,369.08	12,930,142.58	65%	35%	
13	254	95	ACTIVE DOI	286,237,288.50	597,155,599.47	509,023,632.52	13,769,802.62	74,362,164.33	88%	12%	
14	25	23	ACTIVE DOJ	78,036,958.33	78,172,710.00	65,258,679.98	2,843,986.38	10,070,043.64	87%	13%	
15	20	6	ACTIVE DOL	23,905,513.00	25,015,653.00	21,488,884.41	196,154.43	3,330,614.16	87%	13%	
16	17	9	ACTIVE DOT	17,614,265.89	18,280,751.74	7,961,297.29	559,621.99	9,759,832.46	47%	53%	
17	33	25	ACTIVE EPA	14,061,188.00	26,311,465.00	17,642,589.61	377,246.53	8,291,628.86	68%	32%	
18	50	48	ACTIVE NM	10,400,581.00	10,400,581.00	3,725,398.95	2,588,679.45	4,086,502.60	61%	39%	
19	8	7	ACTIVE OTHER FEDERAL	9,193,299.38	11,023,985.61	4,627,603.41	8,303.75	6,388,078.45	42%	58%	
20	2	2	ACTIVE UTAH	416,825.00	416,825.00	35,732.96	0.00	381,092.04	9%	91%	
21	729	317	TOTAL C/Gs	853,049,949.15	1,259,700,573.98	925,450,457.39	37,234,189.24	297,015,927.35	76%	24%	

ACTIVE BER EXTERNAL C/Gs as of January 28, 2014

Sorted by Funding Agencies



ACTIVE EXTERNAL C/GS BUs & Cos Issued Sorted by Funding Agencies Inception thru Jan 28, 2014

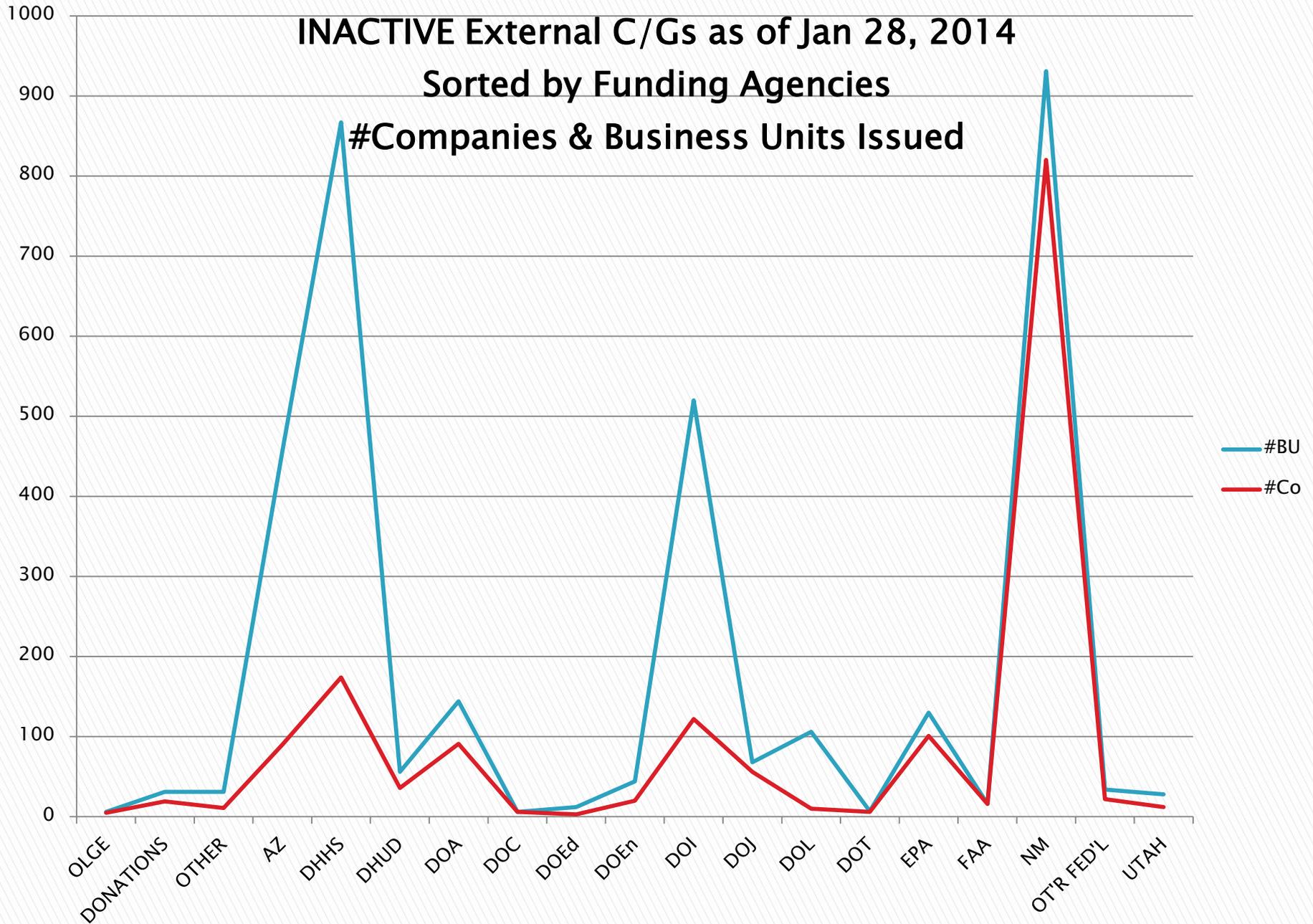


A	B	C	D	E	F	G	H	I	J	K
23	<u>INACTIVE EXTERNAL C/GSJAN 28, 2014 F BY UNDING AGENCIES</u>									
24	#BU	#Co	Descriptions	Original Budget	Revised Budget	Expenditures	Open Commitmts	Available Balance	% Spt	% Bal
25	6	5	INACTIVE OLGE (Other Local Govtl Entity)	1,704,226.36	2,637,425.86	2,308,173.70	0.00	329,252.16	88%	12%
26	31	19	INACTIVE DONATIONS	3,501,773.32	3,936,121.83	3,890,916.40	0.00	45,205.43	99%	1%
27	31	11	INACTIVE OTHER	22,475,978.47	22,638,660.25	7,632,249.68	0.00	15,006,410.57	34%	66%
28	457	90	INACTIVE AZ	124,520,104.69	133,874,559.34	117,905,904.52	1,162.31	15,967,492.51	88%	12%
29	867	174	INACTIVE DHHS	939,990,496.52	1,271,038,115.49	1,190,454,458.89	6,198.22	80,577,458.38	94%	6%
30	56	36	INACTIVE DHUD	103,468,353.00	99,501,672.03	75,108,902.43	0.00	24,392,769.60	75%	25%
31	144	91	INACTIVE DOA	159,431,789.91	196,372,269.93	197,256,701.41	0.00	(884,431.48)	100%	0%
32	6	6	INACTIVE DOC	1,006,626.00	1,007,726.00	968,429.65	0.00	39,296.35	96%	4%
33	12	3	INACTIVE DOE _d	19,249,069.04	20,008,006.04	17,779,955.94	0.00	2,228,050.10	89%	11%
34	44	20	INACTIVE DOE _n	27,234,102.00	23,056,992.41	20,479,031.22	0.00	2,577,961.19	89%	11%
35	520	122	INACTIVE DOI	497,843,217.19	798,367,607.03	785,436,191.48	632.95	12,930,782.60	98%	2%
36	68	56	INACTIVE DOJ	23,020,739.45	32,253,852.45	28,576,033.59	0.00	3,677,818.86	89%	11%
37	106	10	INACTIVE DOL	155,569,246.58	168,815,801.00	167,869,949.53	0.00	945,851.47	99%	1%
38	7	6	INACTIVE DOT	2,840,335.00	2,799,870.75	2,801,241.69	0.00	(1,370.94)	100%	0%
39	130	101	INACTIVE EPA	29,887,879.44	33,998,206.52	31,558,427.16	0.00	2,439,779.36	93%	7%
40	16	16	INACTIVE FAA	5,358,794.00	5,339,171.00	3,686,169.96	0.00	1,653,001.04	69%	31%
41	931	820	INACTIVE NM	136,795,008.71	133,324,164.73	105,079,422.85	70,809.63	28,173,932.25	79%	21%
42	34	22	INACTIVE OTHER FEDERAL	26,192,006.55	27,956,062.55	9,311,245.70	0.00	18,644,816.85	33%	67%
43	28	12	INACTIVE UTAH	2,327,729.00	2,323,029.00	1,968,916.46	0.00	354,112.54	85%	15%
44	3494	1620	TOTAL C/Gs	2,282,417,475.23	2,979,249,314.21	2,770,072,322.26	78,803.11	209,098,188.84	93%	7%

INACTIVE External C/Gs as of Jan 28, 2014

Sorted by Funding Agencies

#Companies & Business Units Issued



A	B	C	D	E	F	G	H	I	J	K
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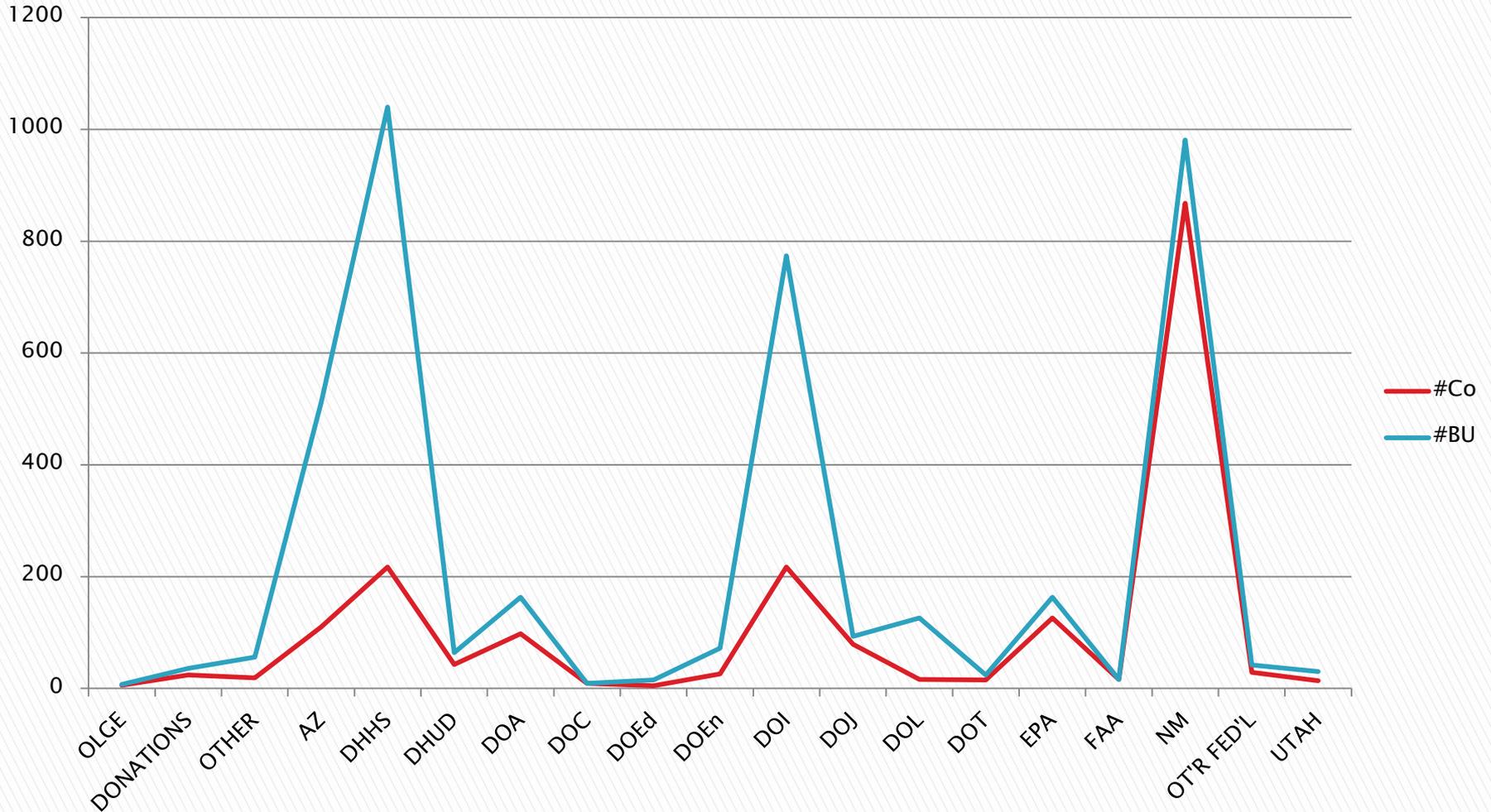
46 **ACTIVE & INACTIVE EXTERNAL C/GS BY FUNDING AGENCIES**

47	#BU	#Co	Descriptions	Original Budget	Revised Budget	Expenditures	Open Commitmts	Available Balance	% Spt	% Bal
48	7	6	TOTAL OLGE (Other Local Govtl Entity)	1,827,546.36	2,760,745.86	2,308,173.70	0.00	452,572.16	84%	16%
49	36	24	TOTAL DONATIONS	3,533,691.60	3,968,040.11	3,911,580.10	4,639.40	51,820.61	99%	1%
50	56	19	TOTAL OTHER	23,668,844.30	34,052,389.81	14,067,965.35	55,051.28	19,929,373.18	41%	59%
51	512	110	TOTAL AZ	140,649,593.69	150,551,688.18	122,768,040.20	358,352.29	27,425,295.69	82%	18%
52	1040	217	TOTAL DHHS	1,225,218,950.94	1,622,789,710.43	1,403,364,602.40	9,448,624.69	209,976,483.34	87%	13%
53	64	43	TOTAL DHUD	135,026,633.00	131,189,988.03	94,531,130.38	3,731,833.15	32,927,024.50	75%	25%
54	163	98	TOTAL DOA	193,590,609.91	232,466,283.95	221,699,700.88	634,587.51	10,131,995.56	96%	4%
55	9	9	TOTAL DOC	4,904,527.00	4,932,726.00	4,403,532.47	369,541.30	159,652.23	97%	3%
56	15	5	TOTAL DOEd	23,006,416.04	24,120,353.04	20,012,482.54	44,755.92	4,063,114.58	83%	17%
57	72	26	TOTAL DOEn	64,339,736.52	60,162,626.93	42,404,154.08	2,250,369.08	15,508,103.77	74%	26%
58	774	217	TOTAL DOI	784,080,505.69	1,395,523,206.50	1,294,459,824.00	13,770,435.57	87,292,946.93	94%	6%
59	93	79	TOTAL DOJ	101,057,697.78	110,426,562.45	93,834,713.57	2,843,986.38	13,747,862.50	88%	12%
60	126	16	TOTAL DOL	179,474,759.58	193,831,454.00	189,358,833.94	196,154.43	4,276,465.63	98%	2%
61	24	15	TOTAL DOT	20,454,600.89	21,080,622.49	10,762,538.98	559,621.99	9,758,461.52	54%	46%
62	163	126	TOTAL EPA	43,949,067.44	60,309,671.52	49,201,016.77	377,246.53	10,731,408.22	82%	18%
63	16	16	TOTAL FAA	5,358,794.00	5,339,171.00	3,686,169.96	0.00	1,653,001.04	69%	31%
64	981	868	TOTAL NM	147,195,589.71	143,724,745.73	108,804,821.80	2,659,489.08	32,260,434.85	78%	22%
65	42	29	TOTAL OTHER FEDERAL	35,385,305.93	38,980,048.16	13,938,849.11	8,303.75	25,032,895.30	36%	64%
66	30	14	TOTAL UTAH	2,744,554.00	2,739,854.00	2,004,649.42	0.00	735,204.58	73%	27%
67	4223	1937	TOTAL ALL C/Gs IAN 28 2014	3,135,467,424.38	4,238,949,888.19	3,695,522,779.65	37,312,992.35	506,114,116.19	88%	12%

ACTIVE-INACTIVE External C/Gs by Funding Agencies

No. of Contracts and Business Units

Inception thru Jan 28, 2014



Active/Inactive BER as of January 28, 2014

Sorted by Funding Agencies

