

The Navajo Nation



Budget and Finance Committee **Fiscal Year 2021 Budget Hearings**

Department of Dine Education

Navajo Nation OMB Report

September 2-8, 2020





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A. FY 2021

Fund Type Budget Summary



Department of Dine' Education
Fiscal Year 2021 Budget Summary by Fund Type

Business Unit	Program	FY 2020 Approved Budget	Fiscal Year 2021 Navajo Nation Funds								Total	
			Proposed General Fund Budget	Indirect Cost Fund	External Funds Cash Match Need	Higher Ed. Set Aside Fund	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External		
109001	Department of Dine Education	760,500	725,902	324,755								1,050,657
118024	Ofc. of Special Education/Rehab. Services				172,222						44,194,310	44,366,532
109004	Ofc. of Educational Research & Statistics	400,000	400,000									400,000
109005	Office of Dine Standards	622,000	622,000									622,000
109006	Office of Navajo Nation Library	410,000	410,000									410,000
109007	Dine Navajo Language Immersion	30,000	30,000									30,000
109008	Ofc. of NN Scholarship Financial Asst.	340,000	340,000								16,945,345	17,285,345
109009	Office of Dine School Improvement	920,000	920,000									920,000
109012	Office of Dine Youth-Admin	320,000	320,000									320,000
109013	Office of Dine Youth-Chinle	690,000	690,000									690,000
109014	Office of Dine Youth-Crownpoint	620,000	620,000									620,000
109015	Office of Dine Youth-Fort Defiance	690,000	690,000									690,000
109016	Office of Dine Youth-Shiprock	725,000	725,000									725,000
109017	Office of Dine Youth-Western	610,000	610,000									610,000
109018	AdvancEd Navajo Nation	375,000	375,000									375,000
118024	Navajo Head Start				3,500,000						24,944,733	28,444,733
109020	OSERS-Food Svc. Vending Program	42,000	42,000									42,000
109023	Ofc. of Dine Accountability & Compliance	530,000	530,000									530,000
109026	Navajo Nation Board of Education	100,000	100,000									100,000
Total:		8,184,500	8,149,902	324,755	3,672,222	0	0	0	0	0	86,084,388	98,231,267

119001	Dine' College	4,700,000				4,700,000						4,700,000
119002	Navajo Technical College	4,200,000				4,200,000						4,200,000
119020	Ofc. of NN Scholarship Financial Asst.	3,500,000				3,500,000						3,500,000
Higher Ed. Set Aside Fund Total:		12,400,000				12,400,000						12,400,000

N01158	OSERS Navajo Nation Trust Funds	3,035						1,840				1,840
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**Department of Dine' Education
Fiscal Year 2021 Budget Summary by Fund Type**

Business Unit	Program	FY 2020 Approved Budget	Fiscal Year 2021 Navajo Nation Funds									
			Proposed General Fund Budget	Indirect Cost Fund	External Funds Cash Match Need	Higher Ed. Set Aside Fund	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total	
N01159	OSERS Navajo Nation Trust Funds	85,000							85,000			85,000
N01160	OSERS Navajo Nation Trust Funds	130,000							121,673			121,673
N01161	OSERS Navajo Nation Trust Funds	97,665							93,387			93,387
N01170	Navajo Traditional Apprenticeship Project	116,840							117,360			117,360
N01171	Ofc. of NN Scholarship Financial Asst.	175,260							176,040			176,040
119006	Navajo Preparatory School	16,837							4,700			4,700
Fiduciary Fund Total:		624,637							600,000			600,000
509002	Ofc. of NN Scholarship Financial Asst.	825,500								758,900		758,900
509004	Ofc. of NN Scholarship Financial Asst.	57,200								51,400		51,400
509006	DOY - High School Prep./Enrich.	163,000								163,000		163,000
509009	Ofc. of NN Scholarship Financial Asst.	39,500								96,700		96,700
509010	Ofc. of NN Scholarship Financial Asst.	7,837,880								6,500,000		6,500,000
Special Revenue Fund Total:		8,923,080								7,570,000		7,570,000
K200712	Johnson-O'Malley Program	11,477,898									10,628,714	10,628,714
External Fund Total:		11,477,898									10,628,714	10,628,714
Grand Total of All Funds:		41,610,115	8,149,902	324,755	3,672,222	12,400,000	0	600,000	7,570,000	96,713,102	129,429,981	

Note: External Funds Cash Match shown for information purpose only.



B. FY 2020 Budget Status Report



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NAVAJO NATION
 Budget Status Report
 As of 6/30/2020
DEPARTMENT OF DINE EDUCATION

8/3/2020
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Account Range	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Exp'd of Total
109001 DEPT OF DINE EDUCATION	1,050,657.00	1,154,027.78	587,740.87	5,812.81	560,474.10	51.43 *
109004 OFC OF EDU/RESEARCH & ST	400,000.00	400,000.00	224,962.36	0.00	175,037.64	56.24 *
109005 OFC OF DINE STANDARDS	622,000.00	652,957.83	183,826.62	32,332.82	436,798.39	33.10 *
109006 OFFICE OF NN LIBRARY	412,000.00	412,000.00	236,672.22	1,986.63	173,341.15	57.93 *
109007 DINE LANGUAGE IMMERSION	30,000.00	30,000.00	736.24	0.00	29,263.76	2.45 *
109008 NN TEACHER EDUC FINANCE	340,000.00	340,000.00	122,669.14	0.00	217,330.86	36.08 *
109009 OFC OF DINE SCHOOL IMPRV	920,000.00	920,000.00	652,291.12	363.96	267,344.92	70.94
109012 OFFICE OF DINE YOUTH- ADM	320,000.00	320,000.00	228,147.84	0.00	91,852.16	71.30
109013 ODY - CHINLE AGENCY	690,000.00	690,000.00	324,519.15	279.20	365,201.65	47.07 *
109014 ODY - CROWNPOINT AGENC	620,000.00	620,000.00	453,652.86	1,869.40	164,477.74	73.47
109015 ODY - FORT DEFIANCE AGEN	690,000.00	692,875.00	503,799.89	354.20	188,720.91	72.76
109016 ODY - SHIPROCK AGENCY	725,000.00	729,577.31	208,941.90	3,201.64	517,433.77	29.08 *
109017 ODY - WESTERN AGENCY	610,000.00	610,000.00	371,934.15	279.20	237,786.65	61.02 *
109018 AdvancEd NAVAJO NATION	375,000.00	375,000.00	178,658.93	0.00	196,341.07	47.64 *
109019 HEAD START PROGRAM	0.00	0.00	375.00	0.00	(375.00)	0.00 *
109020 OSERS-FOOD SVC VEND PRO	42,000.00	42,000.00	36,821.92	0.00	5,178.08	87.67 **
109023 OFC OF DINE ACCOUNTABILITY	530,000.00	530,000.00	283,376.17	1,601.28	245,022.55	53.77 *
109026 NN BOARD OF EDUCATION	100,000.00	100,000.00	68,863.50	320.97	30,815.53	69.18
119020 SCHOLARSHIP & FIN. ASST. -	3,500,000.00	3,500,000.00	1,631,027.46	0.00	1,868,972.54	46.60 *

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NAVAJO NATION
 Budget Status Report
 As of 6/30/2020
DEPARTMENT OF DINE EDUCATION

8/3/2020
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Account Range	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Exp'd of Total
119032 FY'2018 HEADSTART SUPPLEMENTAL	0.00	4,496,186.00	1,863,126.83	0.00	2,633,059.17	41.44 *
General Fund Total	11,976,657.00	16,614,623.92	8,162,144.17	48,402.11	8,404,077.64	49.42% *
SPECIAL REVENUE FUND - INTERNAL						
509002 GRAD.TRUST SCH/FIN.A	825,500.00	825,500.00	322,562.00		502,938.00	39.07 *
509004 TEACHER ED/SCH.FIN.A	57,200.00	57,200.00	18,500.00		38,700.00	32.34 *
509006 DYCS-ADMINISTRATION	163,000.00	163,000.00	69,773.00		93,227.00	42.81 *
509009 NECA TRUST-SCH/FIN.A	39,500.00	39,500.00	18,000.00		21,500.00	45.57 *
509007 CORP.FUNDS/FIN.ASSIS		2,124,789.77	485,282.58		1,639,507.19	22.84 *
509010 SALES TAX SCHOLARSHIP	7,837,880.00	7,837,880.00	4,312,718.01		3,525,161.99	55.02 *
Special Revenue Fund Total	8,923,080.00	11,047,869.77	5,226,835.59	0.00	5,821,034.18	47.31% *
FIDUCIARY FUND						
N01158 OSERS-IND LIVING PERSONNEL	315,119.00	493,761.00	478,975.23		14,785.77	97.01
N01159 OSERS-IND LIVING SERVICES	1,666,081.00	3,896,379.00	3,513,126.93	1,700.00	381,552.07	90.21
N01160 OSERS-GRANTS	4,143,497.45	7,260,176.45	4,940,227.18	96,623.97	2,223,325.30	69.38
N01161 OSERS-IL ADVISORY COUNCI	375,527.00	1,255,393.00	1,051,344.88		204,048.12	83.75
N01170 DINE' CULTURE APPRENTICE PRJ	1,346,829.00	3,709,335.00	2,697,625.00		1,011,710.00	72.73
N01171 VOC TRUST-SCH/FIN AS	1,631,039.99	5,215,105.99	4,611,888.92		603,217.07	88.43
Fiduciary Fund Total	9,478,093.44	21,830,150.44	17,293,188.14	98,323.97	4,438,638.33	79.67%

Note: ** = overexpenditure by 85% or more.
 * = underexpenditure by 65% or less.

NAVAJO NATION
 Budget Status Report
 As of 6/30/2020
EDUCATION GRANTS

Account Range	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Exp'd of Total
119001 DINE COLLEGE	4,700,000.00	6,811,010.26	196,014.05	4,503,985.95	2,111,010.26	69.01
119002 CRWNPT INST OF TECH	4,200,000.00	5,716,081.95	624,475.90	3,575,525.00	1,516,081.05	73.48
119006 NAVAJO PREP SCHOOL	16,837.00	33,674.00	16,837.00	16,837.00	0.00	100.00
Total	8,916,837.00	12,560,766.21	837,326.95	8,096,347.95	3,627,091.31	71.12%



C. FY 2020 Performance Assessment Report



**FY 2020 3rd Quarter
Program Performance Assessment Scores**

	BU #	Department of Dine' Education	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
1	109001	Department of Dine' Education - Administration	2.00	2.67	2.67	
2	109003	Ofc. Of Special Edu./Rehab. Svcs. (OSERS)	2.20	1.80	1.40	
3	109004	Office of Educational Research & Statistics	0.00	2.00	2.20	
4	109005	Office of Standards, Curriculum & Assessment Devlpmt	2.15	2.15	1.58	
5	109006	Office of Navajo Nation Library	2.00	2.00	1.80	
6	109007	Dine' Language Immersion Prog./Navajo Head Start	1.40	1.40	1.40	
7	109008	Ofc of NN Scholarship & Financial Asst.	3.00	2.20	1.80	
8	109009	Office of Dine' School Improvement	2.20	2.40	1.80	
9	109012	Dine' Y.O.U.T.H - Administration	2.00	2.00	2.00	
10	109013	Dine' Y.O.U.T.H - Chinle Agency	3.00	2.60	1.40	
11	109014	Dine' Y.O.U.T.H - Crownpoint Agency	3.00	2.20	2.40	
12	109015	Dine' YO.U.T.H - Ft Defiance Agency	3.00	3.00	3.00	
13	109016	Dine' Y.O.U.T.H - Shiprock Agency	2.80	2.80	3.00	
14	109017	Dine' Y.O.U.T.H - Tuba City Agency	2.20	2.20	1.80	
15	109018	NN Advanced/North Central Association	2.60	3.00	3.00	
16	109019	Navajo Head Start Program	1.60	1.60	1.00	
17	109020	OSERS - Food Services Vending Operation	2.20	2.20	1.00	
18	109023	Office of Dine Accountability & Compliance	3.00	3.00	3.00	
19	109026	Navajo Nation Board of Education	2.40	2.40	2.40	
20	119020	Office of Scholarship/Financial Assistance 2	3.00	2.34	2.34	
21	N01158	OSERS - NN Trust Fund Handicap Services	2.00	1.60	1.60	
22	N01170	Navajo Traditional Apprenticeship Project	1.67	1.67	1.34	
		Average:	2.25	2.24	2.00	

Scoring:

- 3 = Exceeding goals/measures
- 2 = Meeting goals/measures
- 1 = Not Meeting goals/measures
- 0 = No Report

NOTE: Full copies of this report are updated/disseminated each quarter.

**FY 2020 3rd Quarter
Program Performance Assessment Scores**

	BU #	Grants	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
1	119001	Dine College	1.50	1.00	1.00	
2	119002	Navajo Technical University	0.00	1.60	2.00	
3	119006	Navajo Preparatory School	2.34	2.67	1.40	
Average:			1.28	1.76	1.47	

Scoring:

- 3 = Exceeding goals/measures
- 2 = Meeting goals/measures
- 1 = Not Meeting goals/measures
- 0 = No Report



D. FY 2020 Plans of Operation Status Report



NAVAJO NATION PLANS OF OPERATION REPORT

DEPARTMENT OF DINE EDUCATION

#	Business Unit Number	Program Title	Statutory Reference	Year Adopted
1	109001	Department of Diné Education (DODE) - Admin	CJY-37-05/HEHSCAP-07-19	2019
2	109003	Ofc of Spec Educ/Rehab Srvs (OSERS)	HEHSCMY-10-19	2019
3	109020	OSERS - Food Service Vending Program	HEHSCMY-10-19	2019
4	N01158	OSERS - NN Trust Fd Handicapped Serv	HEHSCMY-10-19	2019
5	109004	Office of Educational Research & Statistics	HEHSCN-29-17	2017
6	109005	Office of Dine Standards, Curriculum & Assessment	GSCO-44-10	2010
7	N01170	Navajo Traditional Apprenticeship Project	HEHSCMY-15-19	2019
8	109006	Office of Navajo Nation Library	HEHSCN-29-17	2017
9	109008	Office of Scholarship & Finan. Assist./Teacher Edu.	HEHSCN-29-17	2017
10	119020	Office of Scholarship/Financial Asst. 2	HEHSCN-29-17	2017
11	109009	Office of Dine' School Improvement	HEHSCAP-09-19	2019
12	109012	Dine' YOUTH - Admin.	HEHSCJA-01-20	2020
13	109013	Dine' YOUTH - Chinle Agency	HEHSCJA-01-20	2020
14	109014	Dine' YOUTH - Crownpoint Agency	HEHSCJA-01-20	2020
15	109015	Dine' YOUTH - Ft Defiance Agency	HEHSCJA-01-20	2020
16	109016	Dine' YOUTH - Shiprock Agency	HEHSCJA-01-20	2020
17	109017	Dine' YOUTH - Tuba City Agency	HEHSCJA-01-20	2020
18	109018	NN AdvancED/North Central Association	HEHSCN-29-17	2017
19	109019	Head Start Program	HEHSCAP-08-19	2019
20	109007	Dine Language Immersion Program	HEHSCAP-08-19	2019
21	109023	Ofc. of Dine' Accountability and Compliance	HEHSCO-031-12	2012
22	109026	Navajo Nation Board of Education	CJY-37-05	2005
23	K150733	Johnson -O'Malley Program	HEHSCN-29-17	2017

NAVAJO NATION COUNCIL RESOLUTION

CJY-37-05

Enacting the Navajo Sovereignty in Education Act of 2005; Amending Titles Ten and Two of the Navajo Nation Code

NOTE: Full copies of this report are updated/disseminated each quarter.

NAVAJO NATION PLANS OF OPERATION REPORT

GOVERNMENT SERVICES COMMITTEE (defunct) RESOLUTIONS - DODE

GSCO-44-10 Amending Resolution GSCMY-19-07 Amending the Plan of Operation for the Department of Dine' Education.

HEALTH, EDUCATION AND HUMAN SERVICES COMMITTEE RESOLUTIONS - DODE

HEHSCO-031-12 Amending The Department of Dine Education Office of Dine Accountability and Compliance Plan of Operation and Amending and Adopting the Department of Dine Education Navajo Nation Advanced Plan of Operation

HEHSCN-29-17 Amending and approving the Navajo Department of Dine' Education's Plans of Operation for Navajo Nation Library; Office of Special Education and Rehabilitation Services; Office of Navajo Nation Scholarship and Financial Assistance/Teacher Education Consortium; Johnson-O'Malley Program; AdvancED Ed Navajo Nation; Office of Educational Research and Statistics

HEHSCAP-07-19 Amending the Navajo Nation Department of Dine Education Administration Office of Plan of Operation.

HEHSCMY-10-19 Amending the Navajo Nation Department of Dine Educaiton Office of Special Education and Rehabilitation Plan of Operation.

HEHSCAP-09-19 Amending the Navajo Nation Department of Dine Education Office of Dine School Improvement Plan of Operation.

HEHSCAP-08-19 Amending the Navajo Head Start Plan of Operation.

HEHSCMY-15-19 Amending the Navajo Nation Department of Dine Education Office of Standards, Curriculum, and Assessment Development; Navajo Traditional Apprenticeship Project Plan of Operation.

HEHSCJA-01-20 Amending the Plan of Operation for the Office of Dine' Youth (Young Optimists United Towards Hozho').

NAVAJO NATION PLANS OF OPERATION REPORT

NAVAJO NATION GRANTS

#	Business Unit Number	Program Title	Statutory Reference	Year Adopted
1	119001	Diné College	CAP-35-97	1997
2	119002	Navajo Technical University	CO-58-16	2016
3	119006	Navajo Preparatory School	ECMY-33-91	1991

NAVAJO NATION COUNCIL RESOLUTIONS - GRANTS

CAP-35-97

Diné College: Adopting the Amendments of the Navajo Community College Charter, 10 N.N.C. §2001, et. seq.

CO-58-16

Approving the Amendments to Title 15 N.N.C. §§1201 Through 1209: And Authorizing the Navajo Technical University to amend its Articles of Incorporation.

EDUCATION COMMITTEE (defunct) - GRANTS

ECMY-33-91

Navajo Preparatory School: Authorizing and Directing the Office of Legislative Counsel and the Dept. of Justice of the Navajo Nation Government to Do All Things Necessary to Formulate a Charter for the Establishment of the Navajo Preparatory School and Directing the President of the Navajo Nation Government to Appoint a Task Force to Negotiate with the Women's Division & National Division of the General Board of Global Ministries, United Methodist Church, to Continue the Utilization of the Property Known as the Navajo Mission in Farmington, New Mexico

NOTE: Full copies of this report are updated/disseminated each quarter.



E. FY 2020 Legislative Concerns Summary Report



**Fiscal Year 2020
Legislative Concerns Summary
Division of Dine' Education**

	<u>Concerns/Assigned Dept.</u>	<u>BU#</u>	<u>Due:</u>	<u>3rd Qtr. Response(s)</u>	<u>Status</u>
LC#9	The Scholarship Office is to report on and develop incentives for students to become teachers. The Scholarship Office shall report its progress to the Health, Education and Human Services Committee by the end of the first quarter.	BU#109008	End of 1st Quarter	√	Non-Compliant
LC#10	The Scholarship Office is to develop a scholarship program for displaced mine workers to receive educational assistance. The Scholarship Office shall report its progress to the Health, Education and Human Services Committee by the end of the first quarter.	BU#109008	End of 1st Quarter	√	Non-Compliant
LC#11	Navajo Preparatory School has not met its performance goals. Navajo Preparatory School shall report its progress to the Health, Education and Human Services Committee at the end of quarter.	B#119008	Through Fiscal Year	√	On-Going

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