

The Navajo Nation



Budget and Finance Committee **Fiscal Year 2021 Budget Hearings**

Division of Natural Resources

Navajo Nation OMB Report

September 2-8, 2020





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A. FY 2021 Fund Type Budget Summary

**Division of Natural Resources
Fiscal Year 2021
Budget Summary by Fund Type**

| Business Unit | Program | Fiscal Year 2020 | Fiscal Year 2021 Navajo Nation Funds | | | | | | |
|---------------|--|--------------------|--------------------------------------|--------------------|------------------|----------------|---------------------------------|---------------------------------|-------------------|
| | | Approved GF Budget | Proposed General Fund Budget | Indirect Cost Fund | Proprietary Fund | Fiduciary Fund | Special Revenue Fund - Internal | Special Revenue Fund - External | Total |
| 115001 | Div. of Natural Resources - Admin. | 852,017 | 445,481 | 241,536 | | | | | 687,017 |
| 115002 | AGR - District Grazing Committee | 1,797,726 | 1,797,726 | | | | | | 1,797,726 |
| 115004 | Minerals Department | 800,046 | 800,046 | | | | 3,903,104 | | 4,703,150 |
| 115005 | AGR - Eastern Navajo Agency Dist/Joint Land Boards | 448,519 | 448,519 | | | | | | 448,519 |
| 115007 | AGR - Navajo Nation Farm Boards | 816,578 | 816,578 | | | | | | 816,578 |
| 115009 | DWR - Water Resource Management | 227,470 | 227,470 | | | | 42,555,887 | | 42,783,357 |
| 115011 | Dept. of Water Resources | 323,903 | 323,903 | | | | | | 323,903 |
| 115012 | DWR - Technical/Construction | 1,918,124 | 1,918,124 | | | | 3,030,793 | | 4,948,917 |
| 115013 | DWR - Operations & Maintenance | 2,988,750 | 2,988,750 | | | | 8,207,340 | | 11,196,090 |
| 115017 | NN Museum | 311,300 | 311,300 | | | | | | 311,300 |
| 115018 | Dept. of Agriculture | 947,878 | 947,878 | | | | | | 947,878 |
| 115021 | Navajo Land Department | 1,312,037 | 1,312,037 | | | | | | 1,312,037 |
| 115023 | Forestry Department | 193,822 | 193,822 | | | | 3,544,741 | | 3,738,563 |
| 115024 | NN Heritage & Historic Preservation Dept. | 590,986 | 590,986 | | | | 851,423 | | 1,442,409 |
| 115025 | Fish & Wildlife Department | 1,033,679 | 1,223,679 | | | | 6,355,959 | | 7,579,638 |
| 115026 | F&W - Animal Control Program | 805,171 | 805,171 | | | | | | 805,171 |
| 115027 | Dept. of Resource Enforcement | 1,704,554 | 1,204,529 | | | | | | 1,204,529 |
| 115034 | Navajo Veterinary & Livestock Program | 485,949 | 485,949 | | | | | | 485,949 |
| 115035 | F&W - NN Zoological & Botanical Park | 546,308 | 546,308 | | | | | | 546,308 |
| 115037 | Navajo Title Plant System (NLTDS) | 562,452 | 562,452 | | | | | | 562,452 |
| 115038 | General Land Development Department | 532,923 | 507,923 | | | | | | 507,923 |
| 115039 | Navajo Nation Energy | 663,988 | | | | | | | 0 |
| KXXXXXX | SAFETY OF DAMS | | | | | | 1,478,261 | | 1,478,261 |
| K18XXXX | AML RECLAMATION DEPARTMENT | | | | | | 2,128,349 | | 2,128,349 |
| | Total: | 19,864,180 | 18,458,631 | 241,536 | 0 | 0 | 0 | 72,055,857 | 90,756,024 |

**Division of Natural Resources
Fiscal Year 2021
Budget Summary by Fund Type**

| Business Unit | Program | Fiscal Year 2020 | Fiscal Year 2021 Navajo Nation Funds | | | | | | |
|-------------------------------|---|--------------------|--------------------------------------|--------------------|------------------|----------------|---------------------------------|---------------------------------|--------------------|
| | | Approved GF Budget | Proposed General Fund Budget | Indirect Cost Fund | Proprietary Fund | Fiduciary Fund | Special Revenue Fund - Internal | Special Revenue Fund - External | Total |
| 115017 | NN Museum (Proprietary) | | | | 70,000 | | | | 70,000 |
| 115026 | Fish and Wildlife - Animal Control Program | | | | 0 | | | | 0 |
| 415000 | Land Acquisition Trust Fund | | | | | | 4,021,000 | | 4,021,000 |
| 515005 | DWR - Water Code | | | | | | 725,000 | | 725,000 |
| 915001 | Parks & Recreation Enterprise | | | | 5,666,667 | | | | 5,666,667 |
| 915003 | AGR - Tribal Ranches | | | | 200,000 | | | | 200,000 |
| 915008 | Fish and Wildlife Enterprise | | | | 533,333 | | | | 533,333 |
| 915009 | Livestock Custody Fund | | | | 150,000 | | | | 150,000 |
| 915010 | P&R - Treaty Day Celebration | | | | 0 | | | | 0 |
| 915011 | P&R - Annual Navajo Nation Fair | | | | 0 | | | | 0 |
| 915013 | Agriculture - Navajo Vet & Livestock Program | | | | 100,000 | | | | 100,000 |
| 915014 | Colorado Ranch | | | | 750,000 | | | | 750,000 |
| | Proprietary and Special Revenue Total: | 0 | | | 7,470,000 | | 4,746,000 | | 12,216,000 |
| Grand Total All Funds: | | 19,864,180 | 18,458,631 | 241,536 | 7,470,000 | 0 | 4,746,000 | 72,055,857 | 102,972,024 |



B. FY 2020 Third Quarter Budget Status Report

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NAVAJO NATION
 Budget Status Report
 As of 06/30/2020
 DIVISION OF NATURAL RESOURCES
General Funds

8/21/2020
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| Account Range | Original Budget | Revised Budget | Actuals | Encumbrances | Budget Available | % Expensed of Total |
|---------------------------------|----------------------|----------------------|----------------------|-------------------|---------------------|---------------------|
| 115001 DIV OF NATRL RESOURCE | 852,017.00 | 928,981.00 | 540,023.79 | 5,794.88 | 383,162.33 | 58.75 * |
| 115002 AGR-DIST GRZG COMM | 1,797,726.00 | 1,797,726.00 | 1,052,823.18 | 0.00 | 744,902.82 | 58.56 * |
| 115004 MINERALS DEPARTMENT | 800,046.00 | 800,046.00 | 450,398.68 | 10,692.88 | 338,954.44 | 57.63 * |
| 115005 AGR-(E)NAV AGCY DIST/ | 448,519.00 | 448,519.00 | 211,029.67 | | 237,489.33 | 47.05 * |
| 115007 AGR-MJR IRRGTN FARM BRD | 818,578.00 | 816,578.00 | 404,143.46 | | 412,434.54 | 49.49 * |
| 115009 DWR - WATER RES MGMT | 227,470.00 | 232,317.18 | 149,748.08 | 4,374.98 | 78,194.12 | 66.34 |
| 115011 DEPT OF WATER RES - A | 323,903.00 | 323,903.00 | 228,945.02 | 628.02 | 94,329.96 | 70.88 |
| 115012 DWR - TECHN LGY/CONSTR | 1,918,124.00 | 1,918,124.00 | 1,227,732.69 | 59,087.03 | 631,304.28 | 67.09 |
| 115013 DWR - OPER & MAINT | 2,988,750.00 | 2,990,169.04 | 1,944,500.71 | 38,827.33 | 1,006,841.00 | 66.33 |
| 115017 HPD - NN MUSEUM | 501,300.00 | 503,475.71 | 294,958.90 | 53,311.16 | 155,205.65 | 69.17 |
| 115018 DEPT OF AGRICULTURE | 947,878.00 | 1,147,878.00 | 724,971.61 | | 422,906.39 | 63.16 * |
| 115021 NAV LAND DEPT | 1,312,037.00 | 1,312,037.00 | 768,281.32 | 605.76 | 543,149.92 | 58.60 * |
| 115023 FORESTRY DEPARTMENT | 193,822.00 | 193,822.00 | 141,149.96 | (5,749.86) | 58,421.90 | 69.86 |
| 115024 HISTORIC PRESERVATION | 590,986.00 | 590,986.00 | 409,219.72 | 451.48 | 181,314.80 | 69.32 |
| 115025 DEPT OF FISH & WILDLIFE | 1,033,679.00 | 1,033,679.00 | 648,678.89 | | 385,000.11 | 62.75 * |
| 115026 ANIMAL CONTROL PRGM | 835,171.00 | 1,096,297.00 | 489,891.87 | 267,304.74 | 339,100.39 | 69.07 |
| 115027 DEPT OF RES ENFRMNT | 1,704,554.00 | 1,704,554.00 | 920,084.01 | 35,238.97 | 749,231.02 | 56.05 * |
| 115034 NAVAJO VET & LIVESTOCK | 485,949.00 | 485,949.00 | 337,712.72 | | 148,236.28 | 69.50 |
| 115035 DEPT OF FISH & WILDLIFE | 546,308.00 | 645,842.00 | 512,041.48 | | 133,800.52 | 79.28 |
| 115037 NAVAJO LAND TITLE DATA | 562,452.00 | 562,452.00 | 249,769.12 | 102,893.04 | 209,789.84 | 62.70 * |
| 115038 GENERAL LAND DEVELOPMENT | 532,923.00 | 532,923.00 | 365,155.92 | | 167,767.08 | 68.52 |
| 115039 NAVAJO NATION ENERGY | 663,988.00 | 665,308.00 | 135,953.01 | 65,788.93 | 463,566.06 | 30.32 * |
| Grand Total: | 20,086,180.00 | 20,731,565.93 | 12,207,213.81 | 639,249.34 | 7,885,102.78 | 61.97 * |

Note: * underexpenditure by 65% or less.

** overexpenditure by 85% or more.

Note1: 115017 includes \$311,300 in General Fund and \$190,000 in Proprietary Fund.

Note2: 115026 includes \$805,171 in General Fund and \$30,000 in Proprietary Fund.

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 DASAM

NAVAJO NATION
 Budget Status Report
 As of 06/30/2020
 DIVISION OF NATURAL RESOURCES
 Non-General Funds

8/21/2020
 10:45:59

| Account Range | Original Budget | Revised Budget | Actuals | Encumb-rances | Budget Available | % Expensed of Total |
|-----------------------------------|----------------------|----------------------|---------------------|---------------------|---------------------|---------------------|
| Special Revenue Funds: | | | | | | |
| 415000 LAND ACQUISITION | 5,569,390.00 | 5,732,516.94 | 4,473,437.56 | 125,000.00 | 1,134,079.38 | 80.22 |
| 515005 WATER CODE ADMIN. | 725,000.00 | 725,000.00 | 317,443.64 | 1,610.72 | 405,945.64 | 44.01 * |
| Total: | 6,294,390.00 | 6,457,516.94 | 4,790,881.20 | 126,610.72 | 1,540,025.02 | 76.15 |
| Proprietary Funds: | | | | | | |
| 915001 PARKS & RECREATION | 8,500,000.00 | 8,978,833.23 | 3,053,512.31 | 794,946.32 | 5,130,374.60 | 42.86 * |
| 915003 TRIBAL RANCH PROGRAM | 700,000.00 | 700,000.00 | 492,143.72 | | 207,856.28 | 70.31 |
| 915008 FISH & WILDLIFE ENTERPRISE | 825,000.00 | 910,920.39 | 445,161.84 | 97,610.93 | 368,147.62 | 59.59 * |
| 915009 LIVESTOCK CUSTODY FUND | 200,000.00 | 200,283.81 | 63,482.92 | 8,019.88 | 128,781.01 | 35.70 * |
| 915010 FOURTH OF JULY CELEBRATION | 100,000.00 | 106,507.25 | 5,189.59 | 2,332.19 | 98,985.47 | 7.06 * |
| 915011 ANNUAL NAVAJO NATION FAIR | 700,000.00 | 722,049.82 | 172,825.78 | 23,367.86 | 525,856.18 | 27.17 * |
| 915013 VETERINARY MEDICAL SALES | 250,000.00 | 250,000.00 | 122,203.77 | | 127,796.23 | 48.88 * |
| 915014 COLORADO RANCH ENTERPR | 800,000.00 | 800,000.00 | 639,269.78 | | 160,730.22 | 79.91 |
| Total: | 12,075,000.00 | 12,668,594.50 | 4,993,789.71 | 926,277.18 | 6,748,527.61 | 46.73 * |
| Grand Total: | 18,369,390.00 | 19,126,111.44 | 9,784,670.91 | 1,052,887.90 | 8,288,552.63 | 56.66 * |

Note: * underexpenditure by 65% or less.
 ** overexpenditure by 85% or more.

Note1: Business Unit No. 115017, \$190,000 is reported under General Funds.

Note2: Business Unit No. 115026, \$30,000 is reported under General Funds.



C. FY 2020 Third Quarter Performance Assessment Report

**FY 2020 3rd Quarter
Program Performance Assessment Scores**

| | BU # | Division of Natural Resources | 1st Qtr | 2nd Qtr | 3rd Qtr | 4th Qtr |
|----|-------------|---|----------------|----------------|----------------|----------------|
| 1 | 115001 | Div of Natural Resources (DNR) - Administration | 2.00 | 2.00 | 1.80 | |
| 2 | 115002 | AGR - District Grazing Committee | 1.80 | 1.40 | 1.40 | |
| 3 | 115004 | Minerals Department | 2.00 | 2.40 | 1.80 | |
| 4 | 115005 | AGR - Eastern Navajo Agency Dist./Joint Land Boards | 1.20 | 1.20 | 1.00 | |
| 5 | 115007 | AGR - Major Irrigation Farm Board | 1.20 | 1.40 | 1.40 | |
| 6 | 115009 | DWR - Water Resource Mgmt | 3.00 | 3.00 | 3.00 | |
| 7 | 115011 | Dept of Water Resources | 2.60 | 2.60 | 2.80 | |
| 8 | 115012 | DWR - Technical Construction | 2.72 | 2.86 | 2.88 | |
| 9 | 115013 | DWR - Operations and Maintenance | 2.80 | 3.00 | 3.00 | |
| 10 | 115017 | HPD - NN Museum | 2.60 | 2.60 | 1.60 | |
| 11 | 115018 | Dept of Agriculture | 1.80 | 2.00 | 2.00 | |
| 12 | 115021 | Navajo Land Department | 2.40 | 2.60 | 2.20 | |
| 13 | 115023 | Forestry Department | 2.67 | 2.67 | 2.67 | |
| 14 | 115024 | Historic Preservation Department (HPD) | 2.40 | 2.00 | 1.40 | |
| 15 | 115025 | Department of Fish & Wildlife | 2.20 | 1.80 | 1.80 | |
| 16 | 115026 | Dept of Fish & Wildlife - Animal Control Program | 3.00 | 3.00 | 3.00 | |
| 17 | 115027 | Department of Resource Enforcement | 2.20 | 2.20 | 2.20 | |
| 18 | 115034 | Navajo Veterinary & Livestock Program | 2.60 | 3.00 | 2.60 | |
| 19 | 115035 | NN Zoological and Botanical Park | 2.40 | 2.60 | 1.80 | |
| 20 | 115037 | Navajo Land Title Data System | 2.60 | 2.60 | 2.60 | |
| 21 | 115038 | General Land Development Dept. | 2.00 | 2.00 | 2.00 | |
| 22 | 115039 | Navajo Nation Energy Office | 2.00 | 2.50 | 2.20 | |
| 23 | 415000 | Land Acquisition Trust Fund | 2.80 | 3.00 | 3.00 | |
| 24 | 515005 | Water Code - Special Revenue | 2.20 | 2.20 | 1.80 | |
| 25 | 915001 | Parks & Recreation Enterprise | 2.60 | 3.00 | 2.60 | |
| 26 | 915003 | AGR - Tribal Ranches | 2.40 | 2.60 | 2.80 | |
| 27 | 915010 | P & R Fourth of July Celebration | 1.80 | 1.60 | 1.40 | |
| 28 | 915011 | P & R Navajo Nation Fair | 2.00 | 1.80 | 1.80 | |
| 29 | 915014 | Colorado Ranch Enterprise | 2.20 | 2.60 | 2.60 | |
| 30 | K150763 | AML Reclamation Department | 2.60 | 2.60 | 2.60 | |
| | | Average: | 2.29 | 2.36 | 2.19 | |

Scoring:

- 3 = Exceeding goals/measures
- 2 = Meeting goals/measures
- 1 = Not Meeting goals/measures
- 0 = No Report

NOTE: Full copies of this report are updated/disseminated each quarter.



D. FY 2020 Third Quarter Plans of Operation Status Report

**NAVAJO NATION
PLANS OF OPERATION REPORT**

DIVISION OF NATURAL RESOURCES

| # | Business Unit Number | Program Title | Statutory Reference | Year Adopted |
|----|----------------------|---|---------------------|--------------|
| 1 | 115001 | Division of Natural Resources (DNR) - Admin | RDCO-79-16 | 2016 |
| 2 | 115004 | Minerals Department | RDCO-79-16 | 2016 |
| 3 | 115011 | Dept of Water Resources | RDCO-79-16 | 2016 |
| 4 | 115009 | DWR - Water Resources Mgmt | RDCO-79-16 | 2016 |
| 5 | 515005 | DWR - Water Code | RDCO-79-16 | 2016 |
| 6 | 115012 | DWR - Technical/Construction | RDCO-79-16 | 2016 |
| 7 | 115013 | DWR - Operation & Maintenance | RDCO-79-16 | 2016 |
| 8 | 115018 | Dept of Agriculture | RDCJY-032-19 | 2019 |
| 9 | 915010 | P & R - 4th of July Celebration | RDCJY-032-19 | 2019 |
| 10 | 915011 | P & R Navajo Nation Fair | RDCJY-032-19 | 2019 |
| 11 | 115034 | Navajo Veterinary & Livestock Program | RDCO-79-16 | 2016 |
| 12 | 115002 | AGR - District Grazing Committee | RDCO-79-16 | 2016 |
| 13 | 115005 | AGR - Eastern Nav Agency Dist/Joint Land Brds | RDCO-79-16 | 2016 |
| 14 | 115007 | AGR - Navajo Nation Farm Boards | RDCO-79-16 | 2016 |
| 15 | 915003 | AGR -Tribal Ranches | RDCO-79-16 | 2016 |
| 16 | 115021 | Navajo Land Department | RDCO-79-16 | 2016 |
| 17 | 415000 | Land Acquisition Trust Fund | CAU-44-16 | 2016 |
| 18 | 115037 | Navajo Title Plant System | RDCO-79-16 | 2016 |
| 19 | 115023 | Forestry Department | RDCO-79-16 | 2016 |
| 20 | 115024 | NN Heritage & Historic Preservation Dept. | RDCO-79-16 | 2016 |
| 21 | 115017 | Navajo Nation Museum | GSCMA-10-10 | 2010 |
| 22 | 115025 | Fish & Wildlife Department | RDCO-79-16 | 2016 |
| 23 | 115026 | F & W - Animal Control Program | RDCO-79-16 | 2016 |
| 24 | 115035 | Navajo Nation Zoological and Botanical Park | RDCO-79-16 | 2016 |
| 25 | 115027 | Department of Resource Enforcement | RDCO-79-16 | 2016 |
| 26 | 115038 | General Land Development Department | RDCO-80-16 | 2016 |
| 27 | 115039 | Navajo Nation Energy Office | NONE | |
| 28 | 915001 | Park & Recreation Department | RDCJY-031-19 | 2019 |
| 29 | 915014 | Colorado Ranch Enterprise | RDCJY-031-19 | 2019 |
| 30 | K170763 | Navajo Abandoned Mine Lands Reclamation Dept. | RDCO-79-16 | 2016 |

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NAVAJO NATION PLANS OF OPERATION REPORT

NAVAJO NATION COUNCIL RESOLUTIONS - DIVISION OF NATURAL RESOURCES

CAU-44-16 Relating to Law & Order, Budget & Finance, Resource and Development and Naabikiyti' Committees & the NNC; Amending the Navajo Land Policy on acquisition of land, 16 NNC §§ 1-10, and the Land Acquisition Trust Land Fund, 16 NNC §§ 202; and enacting the NN Land Acquisition Act.

RESOURCES AND DEVELOPMENT COMMITTEE RESOLUTIONS - DNR

RDCJY-032-19 Relating to Resources and Development committees; Amending RDCO-79-16 by Approving Amendments to the Plan of Operation for the Navajo Nation Division of Natural Resources Department of Agriculture Department

RDCJY-031-19 The Navajo Parks & Recreation Department is hereby established as a department of the Navajo Nation Division of Natural Resources within the executive branch of the Navajo Nation government

RDCO-80-16 Relating to Resources & Development Committee; Approving the Plan of Operation for the General Land Development

RDCO-79-16 Relating to Resources & Development Committee; Approving Amendments to the Plan of Operation for the Navajo Nation Division of Natural Resources Administration, Department of Navajo Abandoned Mine Land Reclamation and Uranium Mill Tailings Remedial Action, Department of Agriculture, Department of Fish and Wildlife, Forestry Department, Natural Heritage and Historic Preservation, Land Department, Minerals Department, Parks and Recreation Department, Department of Resource Enforcement, and the Department of Water Resources.

GOVERNMENT SERVICES COMMITTEE (defunct) RESOLUTION - DNR

GSCMA-10-10 Relating to Resources and Government Services; Amending the Plan of Operation for the Navajo Nation Museum, GSCO-64-00, under the Historic Preservation Department to an Independent Department under the Division of Natural Resources



E. FY 2020 Condition of Appropriation and Legislative Concern Report

**Fiscal Year 2020
Legislative Concerns Summary
Division of Natural Resource**

| | <u>Concerns/Assigned Dept.</u> | <u>BU#</u> | <u>Due:</u> | <u>3rd Qtr. Response(s)</u> | <u>Status</u> |
|--------------|--|------------------|---------------------------|-----------------------------|----------------------|
| LC#18 | The Division of Natural Resources shall provide a report to the Resources and Development Committee by the end of the 1st quarter as to how it will meet its outstanding contractual obligations regarding the decommissioning of Navajo Generating Station, the Kayenta Mine, and the San Juan Generating Station. | | End of 1st Quarter | | |
| | | BU#115001 | | No Response | Non-Compliant |
| | | | | | |

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