

The Navajo Nation



Budget and Finance Committee

Fiscal Year 2021 Budget Hearings

Division of Human Resources

Navajo Nation OMB Report

September 2 - 8, 2020





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A. FY 2021 Fund Type Budget Summary

**Division of Human Resources
Fiscal Year 2021
Budget Summary by Fund Type**

FY 2020			Fiscal Year 2021 Navajo Nation Funds							
Business Unit	Program	Approved Budget per CS-30-19	Proposed General Fund Budget	Indirect Cost Fund	External Funds Cash Match Need	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
114001	DHR - Administration	315,781	360,310	240,646						600,956
114002	Navajo Nation Band	69,580	65,632							65,632
114003	Navajo Nation TV & Film	396,615	345,078	67,590		5,000				417,668
114004	Ofc of Navajo Labor Relations	235,154	266,811	152,809						419,620
114006	Staff Development & Training Dept.	333,131	187,256	134,875						322,131
114007	Nav Occ Safety & Health Admin.	74,179	97,772	114,949						212,721
114009	Dept. of Personnel Management	737,139	1,019,506	627,230						1,646,736
114010	Dept. of Workforce Development	103,662	106,662						30,660,740	30,767,402
114018	Ofc of Background Investigations	156,645	220,073	147,140		15,000				382,213
K21XXXX	Navajo Ofc of Vital Records	3,846,219							1,060,586	1,060,586
K21XXXX	Child Support Enforcement	3,600,000							3,600,000	3,600,000
Division Total:		9,868,105	2,669,100	1,485,239	0	20,000	0	0	35,321,326	39,495,665
714001	Dept. of Retirement Services (DRS) *	45,725,000					46,015,000			46,015,000
714002	DRS - Retirement Program *	1,388,000					1,447,150			1,447,150
714003	DRS - RPAC *	70,000					70,000			70,000
Fiduciary Funds Total:		47,183,000					47,532,150			47,532,150
118024	Dept. of Child Support Enforcement **	900,000			900,000					900,000
External Funds Cash Match Total:		900,000			900,000					900,000
Overall Total:		57,951,105	2,669,100	1,485,239	900,000	20,000	47,532,150	0	35,321,326	87,927,815

Note: * For informational purposes only. The Budget & Finance Committee (BFC) is the oversight committee for these funds.

** External Funds Cash Match shown for informational purposes only.



B. FY 2020 Third Quarter Budget Status Report

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NAVAJO NATION
 Budget Status Report
 As of 6/30/2020

8/6/2020
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DIVISION OF HUMAN RESOURCES

Business Unit	Program Name	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Expensed of	
							Total	
114001	DIV HUMAN RESOURCES-ADMIN	611,956.00	716,778.00	364,077.03	1,298.23	351,402.74	50.97	*
114002	NAVAJO NATION BAND	69,580.00	69,580.00	29,026.32	699.74	39,853.94	42.72	*
114003	NAVAJO NATION TV & FILM	421,615.00	467,774.34	276,610.50	8,804.95	182,358.89	61.02	*
114004	OFC OF NAV LABOR RELATIONS	430,620.00	569,341.00	290,897.16	0.91	278,442.93	51.09	*
114006	STAFF DEV & TRNG PRGM	333,131.00	403,131.00	258,905.52	4,502.06	139,723.42	65.34	
114007	NAV OCC SAFETY & HEALTH	223,721.00	319,765.10	198,254.64	15,627.79	105,882.67	66.89	
114009	DEPT OF PERSONNEL MGMT	1,660,736.00	2,009,811.00	956,107.00	0.00	1,053,704.00	47.57	*
114010	NAV DEPT OF WORKFORCE DEV	103,662.00	103,662.00	70,093.04	0.00	33,568.96	67.62	
114018	OFC OF BACKGRND INVESTIGATIONS	393,213.00	467,768.00	266,142.97	0.00	201,625.03	56.90	*
TOTAL:		4,248,234.00	5,127,610.44	2,710,114.18	30,933.68	2,386,562.58	53.46%	
714001	NN DEPT OF RETIREMENT	50,496,858.00	50,496,858.00	36,157,289.28	0.00	14,339,568.72	71.60	
714002	NNDRS-RETIREMENT PRGM	1,388,000.00	1,452,459.45	540,622.17	37,419.81	874,417.47	39.80	*
714003	NNDRS-RETIRE PLAN ADM	70,000.00	70,000.00	8,117.81	0.00	61,882.19	11.60	*
FIDUCIARY FUND TOTAL:		51,954,858.00	52,019,317.45	36,706,029.26	37,419.81	15,275,868.38	70.63%	
GRAND TOTAL:		56,203,092.00	57,146,927.89	39,416,143.44	68,353.49	17,662,430.96	69.09%	

Note: *= underexpenditure by 65% or less.
 ** = overexpenditure by 85% or more



C. FY 2020 Third Quarter Performance Assessment Report

**FY 2020 3rd Quarter
Program Performance Assessment Scores**

	BU #	Division of Human Resources	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
1	114001	Division of Human Resources (DHR) - Admin.	1.80	2.20	2.40	
2	114002	Navajo Nation Band	1.75	1.60	1.40	
3	114003	Navajo Nation TV & Film	2.00	2.20	1.80	
4	114004	Office of Navajo Labor Relations	2.80	3.00	1.80	
5	114006	Navajo Staff Development and Training Dept.	2.50	2.50	2.20	
6	114007	Navajo Occupational Safety & Health Admin.	2.00	2.00	1.80	
7	114008	Department of Child Support Enforcement	2.00	2.20	2.20	
8	114009	Department of Personnel Management	2.60	2.60	2.60	
9	114010	Navajo Department of Workforce Development	2.20	2.20	2.20	
10	114018	Office of Background Investigations	3.00	3.00	2.60	
11	714001	NN Department of Retirement Services Program	3.00	3.00	2.60	
12	714002	NNDRS - Retirement Services	2.40	2.40	2.60	
13	714003	NNDRS - Retirement Plan Admin. Committee	2.80	2.80	2.80	
14	K190735	Navajo Office of Vital Records and Identification	2.60	2.20	1.80	
Average:			2.39	2.42	2.20	

Scoring:

- 3 = Exceeds goals/measures
- 2 = Meeting goals/measures
- 1 = Not Meeting goals/measures
- 0 = No Report



D. FY 2020 Third Quarter Plans of Operation Status Report

NAVAJO NATION PLANS OF OPERATION REPORT

DIVISION OF HUMAN RESOURCES

#	Business Unit Number	Program Title	Statutory Reference	Year Adopted
1	114001	Division of Human Resources (DHR) - Admin	CJA-3-16; Title 2, Section 1703 & 1704	2016
2	114002	Navajo Nation Band	HEHSCD-043-13	2013
3	114003	Navajo Nation TV & Film (NNTVF)	HEHSCJN-11-18	2018
4	114004	Office of Navajo Labor Relations	HEHSCN-27-14	2014
5	114006	Navajo Staff Development & Training Program	HEHSCMY-013-12	2012
6	114007	Navajo Occupational Safety & Health Administration	GSCJN-48-03	2003
7	114008	NN Department of Child Support Services (NNDCSS)	HEHSCO-27-16	2016
8	114009	Department of Personnel Management	NABIAP-26-16	2016
9	114010	Navajo Dept. of Workforce Development	HEHSCO-26-17	2017
10	114018	Office of Background Investigations	HEHSCMA-04-14	2014
11	714001	Navajo Nation Dept of Retirement Services	BFS-36-18	2018
12	714002	NNDRS - Retirement Services	BFS-36-18	2018
13	714003	NNDRS - Retirement Plan Admin. Comm.	BFS-36-18	2018
14	K190735	Navajo Office of Vital Records and Identification	HEHSCMY-11-19	2019

NAVAJO NATION COUNCIL RESOLUTIONS - DHR

CJA-3-16 Relating to the Law & Order, Health, Education and Human Services and Naa biki' yati committee and the Navajo Nation Council; Establishing the Navajo Nation Veterans Administration and Navajo Nation Veterans Advisory Council and Amending 2 N.N.C. §1703 and 1704

NABIAP-26-16 Relating to Health, Education & Human Services and Naabik'yati committee; Amending the Department of Personnel Management Plan of Operation to make the position of Department of Personnel Management Director a Professional At Will Position.

BUDGET & FINANCE COMMITTEE RESOLUTIONS - DHR

BFS-36-18 Relating to Budget and Finance Committee; Approving Amendments to the Navajo Nation Department of Retirement Services Plan of Operation

GOVERNMENT SERVICES COMMITTEE (defunct) RESOLUTION - DHR

GSCJN-48-03 Amending the Plan of Operation for the Navajo Occupational Safety and Health Administration / Division of Human Resources

HEALTH, EDUCATION AND HUMAN SERVICES COMMITTEE RESOLUTIONS - DHR

HEHSCD-043-13 Relating to Health, Education and Human Services; Amending the Plan of Operation for the Navajo Nation Band

HEHSCMY-013-12 Approving the amendment of the plan of operation for the Navajo Staff Development and Training Department, Division of Human Resources, adopted by resolution GSCMA-11-04.

NOTE: Full copies of this report are updated/disseminated each quarter.

NAVAJO NATION PLANS OF OPERATION REPORT

HEHSCMA-04-14	Relating to Education and Human Services; Approving the plan of operation for Office of Background Investigations.
HEHSCN-27-14	Relating to Health, Education and Human Services, Amending the Plan of Operation for the Office of Navajo Labor Relations.
HEHSCJN-11-18	Relating to Health, Education and Human Services Committee; Approving Amendments to the Plan of Operation for the Office of the Broadcast Services "Exhibit A"
HEHSCO-27-16	Relating to Health, Education and Human Services; Amending Plan of Operation for the Navajo Nation Department of Child Support Services
HEHSCO-26-17	Relating to Health, Education and Human Services Committee; Amending and approving the Navajo Department of Workforce Development's Plan of Operation.
HEHSCMY-11-19	Relating to the Health, Education and Human Services Committee, Amending the Navajo Office of Vital Records and Identification Plan of Operation

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E. FY 2020 Third Quarter Condition of Appropriation / Legislative Concerns Summary Report

**Fiscal Year 2020
Legislative Concerns Summary
Division of Human Resources**

	<u>Concerns/Assigned Dept.</u>	<u>BU#</u>	<u>Due:</u>	<u>3rd Qtr. Response(s)</u>	<u>Status</u>
LC#7	The Women's Commission does not have a budget in the Comprehensive Budget. The Division Director shall develop a long-range plan for the women's commission and report the plan to the Naabik'iyati' by the end of the second quarter.		End of 2nd Quarter		
		BU#114001		v	Non-Compliant

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