

The Navajo Nation



Budget and Finance Committee

Fiscal Year 2021 Budget Hearings

Navajo Division of Transportation

Navajo Nation OMB Report

September 2-8, 2020





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A. FY 2021 Fund Type Budget Summary

**Navajo Division of Transportation
Fiscal Year 2021
Budget Summary by Fund Type**

		Fiscal Year 2020	Fiscal Year 2021 Navajo Nation Funds						
Business Unit	Program	Approved Budget	Proposed General Fund Budget	Indirect Cost Fund	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
121001	Div. of Transportation - Admin.	1,616,659	753,791	184,159				17,540,243	18,478,193
121002	Dept. of Highway Safety	406,546	406,546					692,823	1,099,369
121003	Department of Airport Management	220,473	206,450					4,253,555	4,460,005
121004	Department of Roads - GF	1,031,869	1,092,732					101,870,505	102,963,237
	General Funds Total:	3,275,547	2,459,519	184,159	0	0	0	124,357,126	127,000,804
121002	Dept of Highway Safety	50,000			5,000				5,000
506005	FET/Road Fund / FET Capital Projects	2,611,723					2,611,723	0	2,611,723
506006	FET/Road Fund / Dept of Transportation Planning	718,320					718,320	0	718,320
506007	FET/Road Fund / Department of Roads	2,965,003					3,161,693	44,117,095	47,278,788
506008	FET/Road Fund / Dept of Project Mgt	738,154					621,464	4,723,967	5,345,431
506011	FET/Road Fund / Dept of Airport Mgtt	150,000					70,000	44,970	114,970
	Proprietary & Special Revenue Fund Total:	7,233,200	0	0	5,000	0	7,183,200	48,886,032	56,074,232
	Grand Total All Funds:	10,508,747	2,459,519	184,159	5,000	0	7,183,200	173,243,158	183,075,036



B. FY 2020 Third Quarter Budget Status Report

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NAVAJO NATION
 Budget Status Report
 As of 6/30/2020
Navajo Division of Transportation

8/21/2020
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Account Range	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Expensed of Total
121001 NN DIVISION OF TRANSPORTATION	1,616,659.00	1,890,719.39	550,968.94	350,745.52	989,004.93	47.69 *
121002 DEPARTMENT OF HIGHWAY ¹	456,546.00	456,546.00	230,272.08	7,558.07	218,715.85	52.09 *
121003 DEPT OF AIRPORT MANAGEMENT	220,473.00	220,473.00	45,313.09	41,913.00	133,246.91	39.56 *
121004 DEPT OF ROADS - GENERAL FUND	1,031,869.00	1,496,495.62	225,703.77	372,270.09	898,521.76	39.96 *
General Funds Total:	3,325,547.00	4,064,234.01	1,052,257.88	772,486.68	2,239,489.45	44.90 *
506006 NDOT - 3% ADMIN. ACCT.	718,320.00	725,838.11	333,265.20	6,698.88	385,874.03	46.84 *
506007 NDOT - 17% ROAD MAINT.	2,965,003.00	3,311,500.48	1,591,996.39	249,599.06	1,469,905.03	55.61 *
506008 NDOT - 5% PRELIMINARY	738,154.00	753,431.70	334,089.49	12,955.73	406,386.48	46.06 *
506011 DEPT OF AIRPORT MANAGEMENT	150,000.00	177,860.86	15,623.15	8,564.84	153,672.87	13.60 *
Special Revenue Funds Total:	4,571,477.00	4,968,631.15	2,274,974.23	277,818.51	2,415,838.41	51.38 *
Grand Total:	7,897,024.00	9,032,865.16	3,327,232.11	1,050,305.19	4,655,327.86	48.46 *

Note ¹: Business Unit 121002 includes \$406,546 in General Funds and \$50,000 in Proprietary Funds.

Note: * underexpenditure by 65% or less.
 ** overexpenditure by 85% or more.



C. FY 2020 Third Quarter Performance Assessment Report

**FY 2020 3rd Quarter
Program Performance Assessment Scores**

	BU #	Division of Transportation	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
1	121001	Division of Transportation - Admin	3.00	3.00	2.60	
2	121002	Dept of Highway Safety	2.80	2.80	1.80	
3	121003	Department of Airport Management	1.80	1.80	1.60	
4	121004	Department of Roads - GF	2.67	2.67	2.00	
5	506006	Department of Transportation Planning	2.00	2.60	2.20	
6	506007	Department of Roads	1.80	1.80	1.80	
7	506008	NDOT - Dept of Project Management	3.00	3.00	3.00	
Average:			2.44	2.52	2.14	

Scoring:

- 3 = Exceeding goals/measures
- 2 = Meeting goals/measures
- 1 = Not Meeting goals/measures
- 0 = No Report



D. FY 2020 Third Quarter Plans of Operation Status Report

**NAVAJO NATION
PLANS OF OPERATION REPORT**

DIVISION OF TRANSPORTATION

#	Business Unit Number	Program Title	Statutory Reference	Year Adopted
1	121001	Division of Transportation	CAP-13-13	2013
2	121002	Department of Highway Safety	CAP-13-13	2013
3	121003	Department of Airport Management - Gf	CAP-13-13	2013
4	121004	Dept. of Roads - GF	CAP-13-13	2013
5	506006	Department of Transportation Planning	CAP-13-13	2013
6	506007	NDOT - Department of Roads	CAP-13-13	2013
7	506008	NDOT - Department of Project Management	CAP-13-13	2013

NAVAJO NATION COUNCIL RESOLUTION - NN DIVISION OF TRANSPORTATION

CAP-13-13

Amending 2 NNC § 1371 and the Plan of Operation for the Navajo Division of Transportation (NDOT), GSCAU-26-09, to Reassign and Transfer the Navajo Department of Highway Safety to NDOT, and Approving all NDOT Department of Plans of Operation.



E. FY 2020 Condition of Appropriation and Legislative Concern Report

**Fiscal Year 2020
Legislative Concerns Summary
Division of Transportation**

	<u>Concerns/Assigned Dept.</u>	<u>BU#</u>	<u>Due:</u>	<u>3rd Qtr. Response(s)</u>	<u>Status</u>
LC#13	Trucks on Navajo Nation roads are causing significant damage and creating unsafe conditions. The Executive Director is to develop a plan in coordination with the states of Utah, New Mexico, and Arizona Departments of Transportation and BIA to remedy the conditions and provide this report to the Resources and Development Committee and the Budget and Finance Committee by the end of the first quarter.	BU#121001	End of 1st Quarter	√	Non-Compliant
LC#14	Route 491 and Navajo Nation road junctions are unsafe and dangerous. The Executive Director is to propose a plan to remedy the unsafe conditions and provide this report to the Resources and Development Committee and the Budget and Finance Committee by the end of the first quarter.	BU#121001	End of 1st Quarter	√	Non-Compliant
LC#15	The bridge on Route 9402 is unsafe and dangerous. The Executive Director is to coordinate with the BIA to secure funding to remedy the unsafe conditions. The report is to be provided to the Resources Development Committee and the Budget and Finance Committee by end of the first quarter.	BU#121001	End of 1st Quarter	√	Non-Compliant
LC#16	The Division of Transportation has funded road projects that have not been completed. The Executive Director is to propose a plan to complete all funded projects and provided this report, writing to the Resources and Development Committee and the Budget and Finance Committee at the end of each quarter.	BU#121001	Through Fiscal Year	No Response	Non-Compliant

NOTE: Full copies of this report are updated/disseminated each quarter