

The Navajo Nation



Budget and Finance Committee Fiscal Year 2021 Budget Hearings

Legislative Branch

Navajo Nation OMB Report

September 2-8, 2020





Table of Contents



- A. FY 2021 Fund Type Budget Summary
- B. FY 2020 Budget Status Report
- C. FY 2020 Performance Assessment Report
- D. FY 2020 Plans of Operation Status Report
- E. FY 2020 Legislative Concerns Summary Report





A. FY 2021

Fund Type Budget Summary



**Legislative Branch
Fiscal Year 2021 Budget Summary by Fund Type**

Business Unit	Program	FY 2020 Approved Budget	Fiscal Year 2021 Navajo Nation Funds						
			Proposed General Fund Budget	Indirect Cost Fund	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
101001	Navajo Nation Council	2,037,474	2,037,885	269,002					2,306,887
101003	Budget & Finance Committee	82,205	63,921	10,179					74,100
101014	Navajo Nation Labor Commission	265,880	276,400	29,773					306,173
101015	Ofc of the Speaker	2,353,824	2,066,442	228,194					2,294,636
101016	Ofc of Government Development	573,967	502,593	53,281					555,874
101017	Ofc of Legislative Counsel	1,257,774	1,505,136	120,087					1,625,223
101018	Navajo Utah Commission	277,943	293,757						293,757
101019	Ofc of Legislative Services	1,592,023	1,629,553	178,537					1,808,090
101020	Ethics & Rules Office	481,820	541,394	76,511					617,905
101021	Ofc of Election Administration	1,169,865	1,098,804						1,098,804
101022	Board of Elections Supervisors	126,687	126,716						126,716
101023	Black Mesa Review Board	18,170	25,512						25,512
101024	Ofc of the Auditor General	1,639,621	1,295,815	33,014					1,328,829
101025	Navajo-Hopi Land Commission	193,000	186,094						186,094
101026	Commission on Emergency Mgmt	12,499	8,000						8,000
101027	Eastern Agency Land Commission	99,617	108,018						108,018
101028	Office of Eastern Navajo Land Commission	302,402	308,541						308,541
101029	Human Rights Commission	685,290	632,414						632,414
101030	Health, Education & Human Services	119,129	48,189	16,138					64,327
101031	Resources & Development Committee	128,014	57,662	12,014					69,676
101032	Law & Order Committee	109,020	52,691	11,636					64,327
101033	Naa'bik'i'ya'ti Committee	263,666	141,520	34,516					176,036
101034	Legislative District Assistants	1,752,110	1,885,682						1,885,682
New	Elections		442,583						442,583
Total:		15,542,000	15,335,322	1,072,882					16,408,204
901001	Navajo Nation Code Enterprise	2,500			2,000				2,000
Grand Total of All Funds:		15,544,500	15,335,322	1,072,882	2,000				16,410,204



B. FY 2020 Budget Status Report



R55BU500
NN0001
NFRANCISCO

NAVAJO NATION
Budget Status Report
As of 6/30/2020
LEGISLATIVE BRANCH

8/3/2020
16:01:10

Account Range	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Exp'd of Total
101001 NAVAJO NATION COUNCIL	2,357,271.00	2,587,956.55	1,747,939.28	18,264.38	821,752.89	68.25
101003 B AND F COMMITTEE	92,127.00	95,676.00	42,038.53	4,414.38	49,223.09	48.55 *
101014 NN LABOR COMMISSION	312,812.00	420,238.00	184,445.05	343.94	235,449.01	43.97 *
101015 OFFICE OF THE SPEAKER	2,584,032.00	3,329,011.79	1,825,070.82	457,241.49	1,046,699.48	68.56
101016 OFC OF NAV GOVT DEVPM	625,306.00	715,306.00	344,996.08	0.00	370,309.92	48.23 *
101017 OFC OF LEGISLATIVE COUNS	1,389,673.00	1,579,457.00	706,496.24	18,892.97	854,067.79	45.93 *
101018 NAVAJO UTAH COMMISSION	277,943.00	278,343.00	180,134.00	9,090.36	89,118.64	67.98
101019 OFC OF LEGISLATIVE SERVICE	1,822,338.00	2,008,213.50	1,372,729.21	38,580.63	596,903.66	70.28
101020 ETHICS & RULES OFFICE	617,015.00	677,341.78	274,989.29	20,747.00	381,605.49	43.66 *
101021 ELECTION ADMIN OFFICE	1,190,257.00	1,228,861.00	658,630.17	18,097.61	552,133.22	55.07 *
101022 BOARD OF ELECTION SUPER	126,687.00	126,687.00	87,162.47	0.00	39,524.53	68.80
101023 BLACK MESA REVIEW BOARD	18,170.00	18,170.00	16,039.43	0.00	2,130.57	88.27 **
101024 OFC OF THE AUDITOR GEN	1,670,365.00	2,097,101.09	815,808.64	219,897.60	1,061,394.85	49.39 *
101025 NAV/HOPI LAND COMMISS	193,000.00	311,362.14	212,937.27	7,633.41	90,791.46	70.84
101026 COMMISSION ON EMRGY M	12,499.00	12,499.00	230.28	800.00	11,468.72	8.24 *
101027 EASTERN NAV LAND COMM	99,617.00	234,738.49	27,918.08	126,278.26	80,542.15	65.69
101028 OFC EASTERN NAVAJO LAND	302,402.00	308,146.45	182,842.30	5,744.45	119,559.70	61.20 *
101029 HUMAN RIGHTS COMMISSION	685,290.00	705,560.54	396,691.03	270.54	308,598.97	56.26 *
101030 HEALTH, ED. & HUMAN SERV	133,452.00	138,576.00	35,774.13	5,000.00	97,801.87	29.42 *
101031 RESOURCES & DEVELOPMNT	136,672.00	139,769.00	46,120.96	5,325.25	88,322.79	36.81 *

R55BU500
 NN0001
 NFRANCISCO

NAVAJO NATION
 Budget Status Report
 As of 6/30/2020
LEGISLATIVE BRANCH

8/3/2020
 16:01:10

Account Range	Original Budget	Revised Budget	Actuals	Encumbrances	Budget Available	% Exp'd of Total
101032 LAW & ORDER COMMITTEE	120,162.00	124,148.00	22,778.02	5,843.00	95,526.98	23.05 *
101033 NAA'BIK'I'YA'TI COMMITTEE	314,633.00	743,596.82	107,805.69	142,448.24	493,342.89	33.65 *
101034 LEGISLATIVE DISTRICT ASSISTANTS	1,752,110.00	1,842,092.00	1,149,372.94	0.00	692,719.06	62.39 *
General Fund Total	16,833,833.00	19,722,851.15	10,438,949.91	1,104,913.51	8,178,987.73	58.53% *
07600 NAVAJO NATION CODE ENTERPRISE						
901001 NAV NATION CODE ENTER	2,500.00	37,914.00	337.25	35,414.00	2,162.75	94.30%
Proprietary Fund Total	2,500.00	37,914.00	337.25	35,414.00	2,162.75	94.30%

Note: ** = overexpenditure by 85% or more.
 * = underexpenditure by 65% or less.



C. FY 2020 Performance Assessment Report



**FY 2020 3rd Quarter
Program Performance Assessment Scores**

	BU #	Legislative Branch	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
1	101001	Navajo Nation Council	3.00	3.00	3.00	
2	101003	Budget and Finance Committee	3.00	3.00	3.00	
3	101015	Office of the Speaker	3.00	2.60	2.60	
4	101016	Office of Navajo Government Development	2.60	3.00	3.00	
5	101017	Office of Legislative Counsel	2.20	2.20	2.20	
6	101018	Navajo Utah Commission	2.60	2.60	2.20	
7	101019	Office of Legislative Services	2.80	3.00	3.00	
8	101021	Election Administration Office	2.75	2.50	2.50	
9	101022	Navajo Board of Elections Supervisors	2.67	2.34	2.67	
10	101023	Black Mesa Review Board	0.00	1.60	1.20	
11	101024	Office of Auditor General	2.00	1.80	1.80	
12	101025	Navajo/Hopi Land Commission	2.00	1.00	1.00	
13	101026	Commission on Emergency Management	2.40	2.40	2.60	
14	101027	Eastern Navajo Land Commission	2.80	2.20	1.60	
15	101028	Office of Eastern Navajo Land Commission	2.40	2.00	1.20	
16	101029	Human Rights Commission	2.40	2.60	2.40	
17	101030	Health, Education and Human Services Comm.	2.40	2.80	2.80	
18	101031	Resources and Development Committee	2.60	2.80	3.00	
19	101032	Law and Order Committee	2.40	1.60	2.40	
20	101033	Nabi'ki'yati' Committee	2.60	2.60	2.60	
21	101034	Legislative District Assistants	2.60	2.60	3.00	
22	901001	Navajo Nation Code Enterprise	2.50	2.00	2.00	
Average:			2.44	2.37	2.35	

Scoring:

- 3 = Exceeding goals/measures
- 2 = Meeting goals/measures
- 1 = Not Meeting goals/measures
- 0 = No Report



D. FY 2020 Plans of Operation Status Report



**NAVAJO NATION
PLANS OF OPERATION REPORT**

LEGISLATIVE BRANCH

#	Business Unit Number	Program Title	Statutory Reference	Year Adopted
1	101001	Navajo Nation Council	CAP-10-11	2011
2	101003	Budget & Finance Committee	CAP-10-11 / 2 NNC §300	2011
3	101014	Navajo Nation Labor Commission	LOCMY-01-14	2014
4	101015	Office of the Speaker	CD-68-89 / 2 NNC § 281	1989
5	101016	Office of Navajo Government Development	CO-37-07 / 2 NNC § 970-978	2007
6	101017	Office of Legislative Counsel	CF-3-89 / 2 NNC § 960	1989
7	101018	Navajo Utah Commission	NABIAP-21-15	2015
8	101019	Office of Legislative Services	CAP-25-17	2017
9	101020	Ethics & Rules Office	LOCJA-02-13	2013
10	101021	Election Administration Office	CD-68-89 / 2 NNC § 876	1989
11	101022	Navajo Board of Election Supervisors	CD-68-89 / 2 NNC § 871	1989
12	101023	Black Mesa Review Board	ACS-178-89/2 NNC§851	1989
13	101024	Office of the Auditor General	CD-80-02	2002
14	101025	Navajo/Hopi Land Commission	CD-68-89 / 2 NNC § 851	1989
15	101026	Commission on Emergency Management	CJY-51-90 / 2 NNC § 881	1990
16	101027	Eastern Navajo Land Commission	NAB10-58-13	2013
17	101028	Office of the Eastern Navajo Land Commission	NAB10-58-13	2013
18	101029	NN Human Rights Commission	CJN-15-08	2008
19	101030	Health, Education & Human Services Committee	CAP-10-11 / 2 NNC §400	2011
20	101031	Resources & Development Committee	CAP-10-11 / 2 NNC §500	2011
21	101032	Law & Order Committee	CAP-10-11 / 2 NNC §600	2011
22	101033	Nabi ki yati Committee	CAP-10-11 / 2 NNC §700	2011
23	101034	OLS/Legislative District Assistants	NABIMY-38-16	2016
24	901001	Navajo Nation Code Enterprise	CF-3-89/2 N.N.C.§960-964	1989

NAVAJO NATION COUNCIL RESOLUTIONS - LEGISLATIVE BRANCH

CD-68-89	Amending Title Two (2) of the Navajo Tribal Code and Related Actions
CO-37-07	Relating to Legislative Branch; Approving the Navajo Government Development Act of 2007; Amending 2 NNC §§970-978
CF-3-89	Establishing the Office of Legislative Counsel and Office of Special Prosecutor
CD-80-02	Amending the Plan of Operation for the Office of the Auditor General 12 NNC §1 et. Seq.
CJY-51-90	Amending the Plan of Operation for the Commission on Emergency Management and Amending Title 2 of the Navajo Tribal Code to allow for the Establishment of the Commission on Emergency Management
CJN-15-08	Relating to Intergovernmental Relations; Approving the Navajo Nation Human Rights Commission Plan of Operation
CAP-10-11	An Act relating to the NNC.; Amending certain sections of the Navajo Nation Code, Title 2 §§ 101 and 836, to confirm to the establishment of the 24 member NNC by making revisions to the legislative process and the standing committee structure.

NOTE: Full copies of this report are updated/disseminated each quarter.

**NAVAJO NATION
PLANS OF OPERATION REPORT**

- CAP-25-17 Relating to Law and Order and Naabik'iyati' Committees and the Navajo Nation Council; Amending 2 N.N.C. §§ 952, 953 and 954, the Office of Legislative Services.
- NAABIK' I YATI COMMITTEE RESOLUTION - LEGISLATIVE BRANCH**
- NABIMY-38-16 Relating to Naabik'iyati' committee; approving the Plan of Operation for the Legislative District Assistants program within the Legislative Branch.
- NABIAP-21-15 Relating to the NaaBik'iyati Committee; Amending IGRMA-38-99 the Plan of Operation for the Navajo Utah Commission to place all three NN Council Delegates representing Utah Navajo Nation Chapters on the Navajo Utah Commission and to replace the Intergovernmental Relations Committee with the Naabik'iyati Committee in the Navajo Utah Commission Plan of Operation
- NAB10-58-13 Relating to NAABIK' I YATI; Amending the plan of operation for the Navajo Nation Office of Eastern Navajo Land Commission
- ADVISORY (defunct) COMMITTEE RESOLUTIONS - LEGISLATIVE BRANCH**
- ACS-178-89 Approving a Revised Plan of Operation for the Black Mesa Review Board and Amending Title 2 NNC§ 3671-3676 to confirm with the New Plan of Operation
- LAW AND ORDER COMMITTEE RESOLUTIONS - LEGISLATIVE BRANCH**
- LOC-JA-02-13 Relating to Law and Order; Amending The Plan of Operation for the Navajo Nation Ethics and Rules Office
- LOCMY-01-14 Relating to Law & Order: Amending Plan of Operation for Navajo Nation Labor Commission



E. FY 2020 Legislative Concerns Summary Report



**Fiscal Year 2020
Legislative Concerns Summary
Office of the Speaker**

	<u>Concerns/Assigned Dept.</u>	<u>BU#</u>	<u>Due:</u>	<u>3rd Qtr. Response(s)</u>	<u>Status</u>
LC #1	The Chief of Staff of the Office of the Speaker shall provide a report on the benefits of establishing a Legislative Branch Department of Personnel Management. The Chief of Staff shall provide this report to the Naabik'iyati' by the end of the second quarter.	BU#101015	End of 2nd Quarter	No Response	Non-Compliant

NOTE: Full copies of this report are updated/disseminated each quarter

**Fiscal Year 2020
Legislative Concerns Summary
Office of Legislative Services**

	<u>Concerns/Assigned Dept.</u>	<u>BU#</u>	<u>Due:</u>	<u>3rd Qtr. Response(s)</u>	<u>Status</u>
LC#2	Policy Analyst positions are needed to advise standing committees. The Executive Director of the Office of Legislative Services shall reevaluate the need for policy analysts within the Office of Legislative Services and provide a report to the Naabik'iyati' Committee by the end of the first quarter.		End of 1st Quarter		
		BU#101019		√	Non-Compliant

NOTE: Full copies of this report are updated/disseminated each quarter

**Fiscal Year 2020
Legislative Concerns Summary
Navajo-Hopi Land Commission**

	<u>Concerns/Assigned Dept.</u>	<u>BU#</u>	<u>Due:</u>	<u>3rd Qtr. Response(s)</u>	<u>Status</u>
LC#3	The Navajo-Hopi Land Commission has consistently under		Through Fiscal Year		
	performed in Fiscal Year 2019. The Legislative Advisor for				
	the Navajo-Hopi Land Commission coordinate with the				
	Office of Management and Budget prior to the end of each				
	quarter to ensure proper performance score reportings.	BU#101025		No Response	Non-Compliant

NOTE: Full copies of this report are updated/disseminated each quarter